

# Scalar theories and symmetry breaking in the light-front coupled-cluster method

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# Objectives

- ✦ include zero modes in the light-front coupled-cluster method, to facilitate analysis of theories with symmetry breaking.
- ✦ test with applications to  $\phi^3$  and  $\phi^4$  theories in two dimensions.
- ✦ compare with variational coherent-state analyses.

## LFCC method: Phys Lett B **711**, 417 (2012)

To solve  $\mathcal{P}^-|\psi\rangle = \frac{M^2+P_\perp^2}{P_+}|\psi\rangle$  without truncation,  
build eigenstate as  $|\psi\rangle = \sqrt{Z}e^T|\phi\rangle$  from valence state  $|\phi\rangle$   
and operator  $T$  that increases particle number:

$$e^{-T}\mathcal{P}^-e^T|\phi\rangle = e^{-T}\frac{M^2+P_\perp^2}{P_+}e^T|\phi\rangle,$$

New effective Hamiltonian  $\overline{\mathcal{P}}^- = e^{-T}\mathcal{P}^-e^T$ ,  
using a Baker–Hausdorff expansion

$$\overline{\mathcal{P}}^- = \mathcal{P}^- + [\mathcal{P}^-, T] + \frac{1}{2}[[\mathcal{P}^-, T], T] + \dots$$

Eigenvalue problem becomes  $\overline{\mathcal{P}}^-|\phi\rangle = \frac{M^2+P_\perp^2}{P_+}|\phi\rangle$

Project it onto the valence and orthogonal sectors

$$P_V\overline{\mathcal{P}}^-|\phi\rangle = \frac{M^2+P_\perp^2}{P_+}|\phi\rangle, \quad (1 - P_V)\overline{\mathcal{P}}^-|\phi\rangle = 0.$$

No spectator dependence and no uncanceled divergences!

$$\phi^3 \text{ theory: } \mathcal{L} = \frac{1}{2}(\partial_\mu\phi)^2 - \frac{1}{2}\mu^2\phi^2 - \frac{\lambda}{3!}\phi^3$$

The mode expansion for the field at zero light-front time is

$$\phi = \int \frac{dp^+}{\sqrt{4\pi p^+}} \left\{ a(p^+) e^{-ip^+x^-/2} + a^\dagger(p^+) e^{ip^+x^-/2} \right\},$$

with the modes quantized such that

$$[a(p^+), a^\dagger(p'^+)] = \delta(p^+ - p'^+).$$

The normal-ordered light-front Hamiltonian  $\mathcal{P}^- = \mathcal{P}_{\text{free}}^- + \mathcal{P}_{\text{int}}^-$  is

$$\begin{aligned} \mathcal{P}_{\text{free}}^- &= \int dp^+ \frac{\mu^2}{p^+} a^\dagger(p^+) a(p^+) \\ &+ \frac{\mu^2}{2} \int \frac{dp_1^+ dp_2^+}{\sqrt{p_1^+ p_2^+}} \delta(p_1^+ + p_2^+) \left[ a^\dagger(p_1^+) a^\dagger(p_2^+) + a(p_1^+) a(p_2^+) \right], \end{aligned}$$

with zero-mode terms included here and in  $\mathcal{P}_{\text{int}}^-$ .

The interaction term is

$$\begin{aligned} \mathcal{P}_{\text{int}}^- &= \frac{\lambda}{2} \int \frac{dp^+ dp'^+}{\sqrt{4\pi p^+ p'^+ (p^+ - p'^+)}} \left[ a^\dagger(p^+) a(p'^+) a(p^+ - p'^+) \right. \\ &\quad \left. + a^\dagger(p'^+) a^\dagger(p^+ - p'^+) a(p^+) \right] \\ &+ \frac{\lambda}{6} \int \frac{dp_1^+ dp_2^+ dp_3^+}{\sqrt{4\pi p_1^+ p_2^+ p_3^+}} \delta(p_1^+ + p_2^+ + p_3^+) \\ &\quad \times \left[ a^\dagger(p_1^+) a^\dagger(p_2^+) a^\dagger(p_3^+) + a(p_1^+) a(p_2^+) a(p_3^+) \right] \end{aligned}$$

## $T$ operator

Simplest approximation for  $T$  is a single zero-mode creation

$$T = \int_0^\infty dp^+ \sqrt{4\pi p^+} g(p^+) a^\dagger(p^+),$$

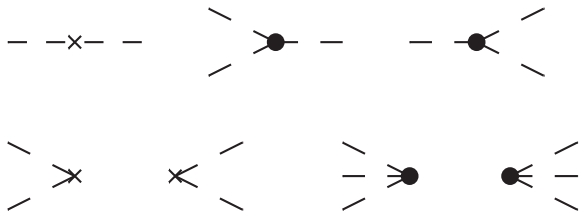
with  $g(p^+)$  having support only at  $p^+ = 0$  in an appropriate limit. This limit, taken at the end of the calculation, restores momentum conservation. The valence state is the bare vacuum. The projection  $1 - P_V$  is truncated to include only states with one zero mode. The corresponding transformation of the field is

$$e^{-T} \phi e^T = \phi + [\phi, T] = \phi + \int dp^+ g(p^+) e^{-ip^+ x^- / 2},$$

which provides for a constant shift in the limit that  $g(p^+) \propto \delta(p^+)$ .

## Graphical $\mathcal{P}^-$ and $T$

$\mathcal{P}^-$



$T$



Lines on the right  $\rightarrow$  annihilation ops, on the left  $\rightarrow$  creation ops,  
 the cross  $\rightarrow$  kinetic energy contribution,  
 the dot  $\rightarrow$  an interaction.

## Effective Hamiltonian

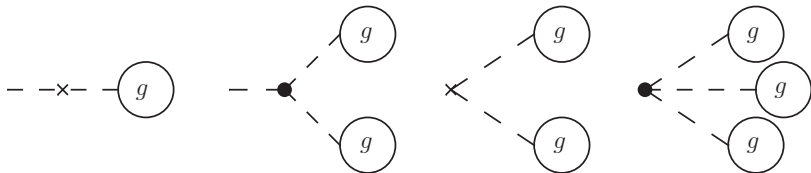
Compute effective Hamiltonian  $\overline{\mathcal{P}}^-$  from the Baker–Hausdorff expansion:

$$\begin{aligned}\overline{\mathcal{P}}^- &= \sqrt{4\pi}\mu^2 \int dp^+ \frac{g(p^+)}{\sqrt{p^+}} a^\dagger(p^+) \\ &+ \frac{1}{2!} 4\pi\mu^2 \int dp_1^+ dp_2^+ \delta(p_1^+ + p_2^+) g(p_1^+) g(p_2^+) \\ &+ \frac{1}{2!} \sqrt{4\pi}\lambda \int \frac{dp^+ dp'^+}{\sqrt{p^+}} g(p'^+) g(p^+ - p'^+) a^\dagger(p^+) \\ &+ \frac{1}{3!} 4\pi\lambda \int dp_1^+ dp_2^+ dp_3^+ \delta(p_1^+ + p_2^+ + p_3^+) g(p_1^+) g(p_2^+) g(p_3^+),\end{aligned}$$

keeping only terms that do not annihilate the vacuum and create at most one zero mode!



# Graphical effective Hamiltonian



## Vacuum state

The eigenvalue problem in the valence (vacuum  $|0\rangle$ ) sector,  $P_V \overline{\mathcal{P}^-} |0\rangle = P^- |0\rangle$ , is

$$\left[ \frac{1}{2} 4\pi\mu^2 \int dp_1^+ dp_2^+ \delta(p_1^+ + p_2^+) g(p_1^+) g(p_2^+) + \frac{1}{6} 4\pi\lambda \int dp_1^+ dp_2^+ dp_3^+ \delta(p_1^+ + p_2^+ + p_3^+) g(p_1^+) g(p_2^+) g(p_3^+) \right] |0\rangle = P^- |0\rangle$$

For a function  $g(p^+) = \alpha\delta(p^+)$ , the eigenvalue  $P^-$  is

$$P^- = \frac{1}{2} \mu^2 \alpha^2 4\pi \delta(0) + \frac{1}{6} \lambda \alpha^3 4\pi \delta(0),$$

proportional to the volume

$$\int dx^- = \lim_{p^+ \rightarrow 0} \int dx^- e^{ip^+ x^- / 2} = 4\pi \delta(0).$$

Write  $P^- = \mathcal{E}^- \int dx^-$  in terms of an energy density

$\mathcal{E}^- = \frac{1}{2} \mu^2 \alpha^2 + \frac{1}{6} \lambda \alpha^3$ . The spectrum is unbounded from below as  $\alpha$  goes to negative infinity.

## Auxiliary equation

The function  $g$  is determined by the auxiliary equation  $(1 - P_\nu)\overline{\mathcal{P}^-}|\phi(\underline{P})\rangle = 0$ , truncated to only one zero mode,

$$\sqrt{4\pi}\mu^2 \frac{g(p^+)}{\sqrt{p^+}} + \frac{1}{2}\sqrt{4\pi}\lambda \int_0^{p^+} \frac{dp'^+}{\sqrt{p'^+}} g(p'^+) g(p^+ - p'^+) = 0$$

Multiply by  $\sqrt{p^+}$  and take Laplace transform  $G(s) \equiv \int_0^\infty e^{-sp^+} g(p^+) dp^+$  to obtain

$$\mu^2 G(s) + \frac{1}{2}\lambda G(s)^2 = 0,$$

The possible solutions are  $G(s)=0$  and  $-2\mu^2/\lambda$ . Because the inverse transform of a constant is a delta function, we obtain the expected  $g(p^+) = \alpha\delta(p^+)$  with  $\alpha = 0$  and  $\alpha = -2\mu^2/\lambda$ . These are the local extrema of  $\mathcal{E}^-$ ; the auxiliary equation does miss the global extrema at  $\pm\infty$ .

## Coherent-state analysis

With the  $T$  operator truncated to one zero mode,  
 $|\alpha\rangle \equiv \sqrt{Z_\alpha} e^T |0\rangle$  is a coherent state.

Can then minimize the vacuum energy density  $\langle \alpha | \mathcal{H} | \alpha \rangle$  with respect to  $\alpha$ , given the Hamiltonian density

$$\mathcal{H} = \frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{3!} \phi^3.$$

The  $T$  operator can be written

$$T = \alpha \int_0^\infty dp^+ \sqrt{4\pi p^+} \Delta(p^+) a^\dagger(p^+)$$

where  $g(p^+) = \alpha \Delta(p^+)$  and, when a specific form is needed  $\Delta(p^+) = \frac{1}{\epsilon} e^{-p^+/\epsilon}$  defined so that  $\lim_{\epsilon \rightarrow 0} \Delta(p^+) = \delta(p^+)$  for integrals from 0 to  $\infty$ .

## Vacuum energy density

From the commutators

$$[T^\dagger, T] = 4\pi\alpha^2 \int dp^+ p^+ \Delta^2(p^+) \rightarrow \pi\alpha^2,$$

$$[\phi, T] = \alpha \int dp^+ \Delta(p^+) e^{-ip^+ x^-/2} \rightarrow \alpha.$$

$$[\phi, T^\dagger] = \alpha \int dp^+ \Delta(p^+) e^{+ip^+ x^-/2} \rightarrow \alpha,$$

we have, for real  $\alpha$ , the normalization  $\sqrt{Z_\alpha} = e^{-\pi\alpha^2/2}$ , as well as  $\phi|\alpha\rangle = \alpha|\alpha\rangle$ ,  $\langle\alpha|\phi = \langle\alpha|\alpha$ , and

$$\langle:\mathcal{H}: \rangle = \frac{1}{2}\mu^2\alpha^2 + \frac{1}{6}\lambda\alpha^3 = \mathcal{E}^-.$$

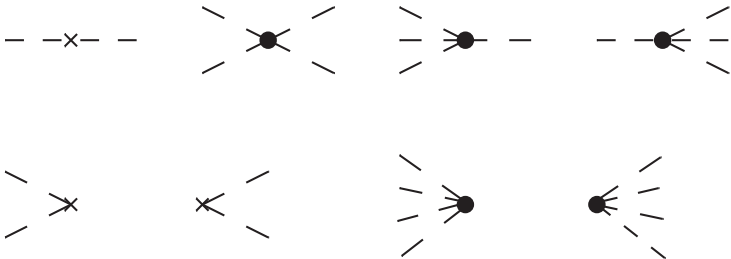
The local extrema are at  $\alpha = 0$  and  $\alpha = -2\mu^2/\lambda$ , and the global extrema at  $\pm\infty$ , as in the LFCC analysis. The vacuum expectation value for the field is just  $\langle\alpha|\phi|\alpha\rangle = \alpha$ .

$$\phi^4 \text{ theory: } \mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 - \frac{1}{2}\mu^2 \phi^2 - \frac{\lambda}{4!}\phi^4$$

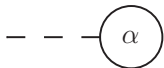
$$\begin{aligned} \mathcal{P}_{\text{int}}^- &= \frac{\lambda}{6} \int \frac{dp_1^+ dp_2^+ dp_3^+}{4\pi \sqrt{p_1^+ p_2^+ p_3^+ (p_1^+ + p_2^+ + p_3^+)}} \\ &\quad \times \left[ a^\dagger(p_1^+ + p_2^+ + p_3^+) a(p_1^+) a(p_2^+) a(p_3^+) \right. \\ &\quad \left. + a^\dagger(p_1^+) a^\dagger(p_2^+) a^\dagger(p_3^+) a(p_1^+ + p_2^+ + p_3^+) \right] \\ &+ \frac{\lambda}{4} \int \frac{dp_1^+ dp_2^+}{4\pi \sqrt{p_1^+ p_2^+}} \int \frac{dp_1'^+ dp_2'^+}{\sqrt{p_1'^+ p_2'^+}} \delta(p_1^+ + p_2^+ - p_1'^+ - p_2'^+) \\ &\quad \times a^\dagger(p_1^+) a^\dagger(p_2^+) a(p_1'^+) a(p_2'^+) \\ &+ \frac{\lambda}{24} \int \frac{dp_1^+ dp_2^+ dp_3^+ dp_4^+}{4\pi \sqrt{p_1^+ p_2^+ p_3^+ p_4^+}} \delta(p_1^+ + p_2^+ + p_3^+ + p_4^+) \\ &\quad \times \left[ a^\dagger(p_1^+) a^\dagger(p_2^+) a^\dagger(p_3^+) a^\dagger(p_4^+) + a(p_1^+) a(p_2^+) a(p_3^+) a(p_4^+) \right] \end{aligned}$$

# Graphical $\mathcal{P}^-$ and $T$

$\mathcal{P}^-$



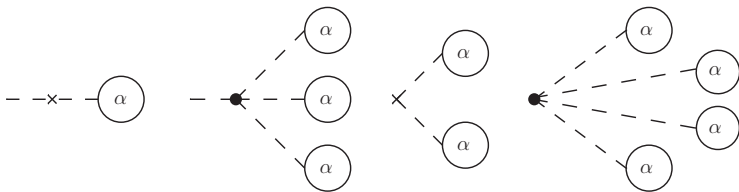
$$T = \alpha \int_0^\infty dp^+ \sqrt{4\pi p^+} \Delta(p^+) a^\dagger(p^+) \quad \text{with } \Delta(p^+) \rightarrow \delta(p^+)$$



# Effective Hamiltonian

For the zero modes

$$\begin{aligned}\overline{\mathcal{P}^-} &= \sqrt{4\pi} \left[ \mu^2 \alpha + \frac{1}{6} \lambda \alpha^3 \right] \int \frac{dp^+}{\sqrt{p^+}} \Delta(p^+) a^\dagger(p^+) \\ &+ 4\pi \left[ \frac{1}{2} \mu^2 \alpha^2 + \frac{1}{24} \lambda \alpha^4 \right] \delta(0).\end{aligned}$$





## Vacuum eigenvalue problem

For a vacuum valence state, the valence eigenvalue problem is

$$P_v \overline{\mathcal{P}^-} |0\rangle = \mathcal{E}^- \int dx^- |0\rangle, \quad \text{with } \mathcal{E}^- = \frac{1}{2} \mu^2 \alpha^2 + \frac{1}{24} \lambda \alpha^4.$$

The auxiliary equation, projected onto the one-zero-mode sector, yields

$$\mu^2 \alpha + \frac{1}{6} \lambda \alpha^3 = 0.$$

The solutions are  $\alpha = 0$  or  $\alpha^2 = -6\mu^2/\lambda$ , with  $\alpha$  the vev for the field. A coherent-state analysis yields the same results.

If we now consider the wrong-sign case, with  $\mu^2 \rightarrow -\mu^2$ , we find  $\alpha = \pm \sqrt{6\lambda}/\mu$ , which corresponds to the shift of the field  $\phi$  that brings the Hamiltonian density to a minimum. Thus, the inclusion of a zero mode in the LFCC  $T$  operator allows for the necessary shift in the field.

## Symmetry breaking

The effective Hamiltonian will have terms that mix Fock states with odd and even numbers of particles, which is characteristic of broken symmetry.

For example, a commutator that contributes to the Baker–Hausdorff expansion of  $\overline{\mathcal{P}^-}$  is

$$[\mathcal{P}_{\text{int}}^-, T] = \frac{\lambda\alpha}{2} \int \frac{dp_1^+ dp_2^+ dp^+}{\sqrt{4\pi p_1^+ p_2^+ p^+}} \delta(p^+ - p_1^+ - p_2^+) \\ \times \left[ a^\dagger(p^+) a(p_1^+) a(p_2^+) + a^\dagger(p_1^+) a^\dagger(p_2^+) a(p^+) \right],$$

which changes particle number by one.

# Summary

- ✦ in light-front quantization, the vacuum is trivial without zero modes.
- ✦ the LFCC method, which solves the LF Hamiltonian eigenvalue problem nonperturbatively, can be extended to include zero modes.
- ✦ in simple theories, we have shown that this provides for the expected vev and is consistent with a variational coherent-state analysis.
- ✦ a four-zero-mode calculation in  $\phi^4$  theory is underway, to compute the critical coupling for dynamical symmetry breaking.
- ✦ another accessible application is a nonperturbative calculation of the Higgs mechanism.