

474. WE-Heraeus-Seminar  
**Strong Interactions: From Methods to Structures**  
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# QCD Factorization and Heavy Quarkonium Production

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Based on work done with Kang, Nayak, Sterman, and ...

# Outline

- ❑ Production of heavy quarkonium
- ❑ NRQCD Factorization
- ❑ High order in  $\alpha_s$  is not necessarily leading in  $1/p_T$
- ❑ Perturbative QCD factorization
- ❑ Connect pQCD factorization to NRQCD factorization
- ❑ Summary and outlook

# Production of heavy quarkonium

## □ Cross sections and mass scales:

$$\frac{d\sigma_{AB \rightarrow H(P)X}}{dy dP_T^2} \quad \sqrt{S}, \quad P_T, \quad M_H, \quad \text{Observed!}$$
$$m_Q, \quad m_Q v, \quad m_Q v^2, \dots$$

## □ Production and distance scales:

### ✧ Creation of heavy quarks:

$$\Delta r \lesssim \frac{1}{2m_Q} \quad \lesssim 0.1 \text{fm (for a charm-quark pair)}$$
$$\lesssim 0.025 \text{fm (for a bottom-quark pair)}$$

Can they be calculated using perturbative QCD?

Relevant partonic subprocesses?

### ✧ Binding to form a heavy quarkonium - nonperturbative:

Described by a few functions, or a few constants?

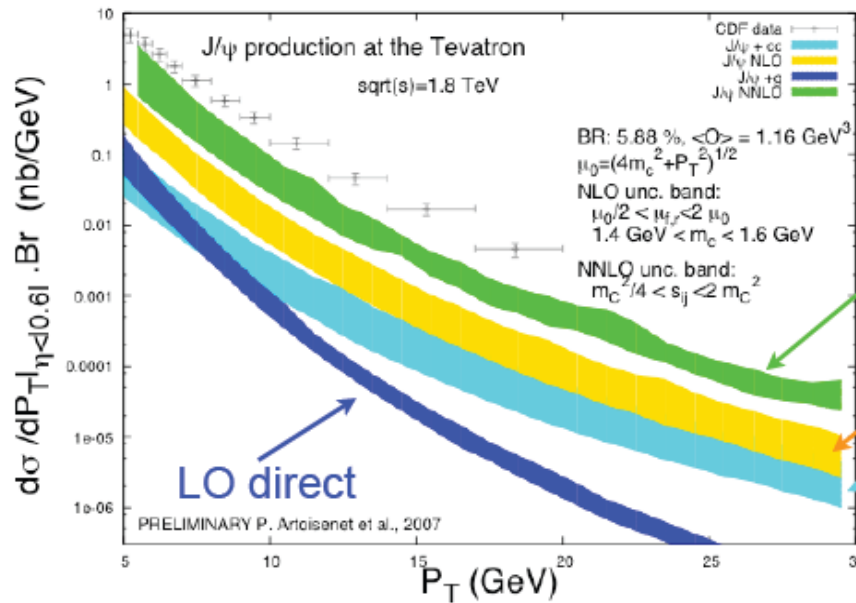
Universal – measured by experiment, or calculated on Lattice?

## A long history

- ❑ **Discovery of  $J/\psi$  – November revolution – 1974**
- ❑ **Color singlet model: 1976 –**
  - Only the pair with right quantum numbers
  - Effectively No free parameter!
- ❑ **Color evaporation model: 1977 –**
  - All pairs with mass less than open meson threshold
  - One parameter per quarkonium state
- ❑ **NRQCD model: 1995 –**
  - The most successful!
  - Infinite parameters – organized in powers of  $v$  and  $\alpha_s$
- ❑ **QCD factorization to next-leading power: 2010 –**
  - Universal fragmentation functions – evolution/resummation
  - NRQCD for input functions?

B. Kniehl's talk

# Color singlet model

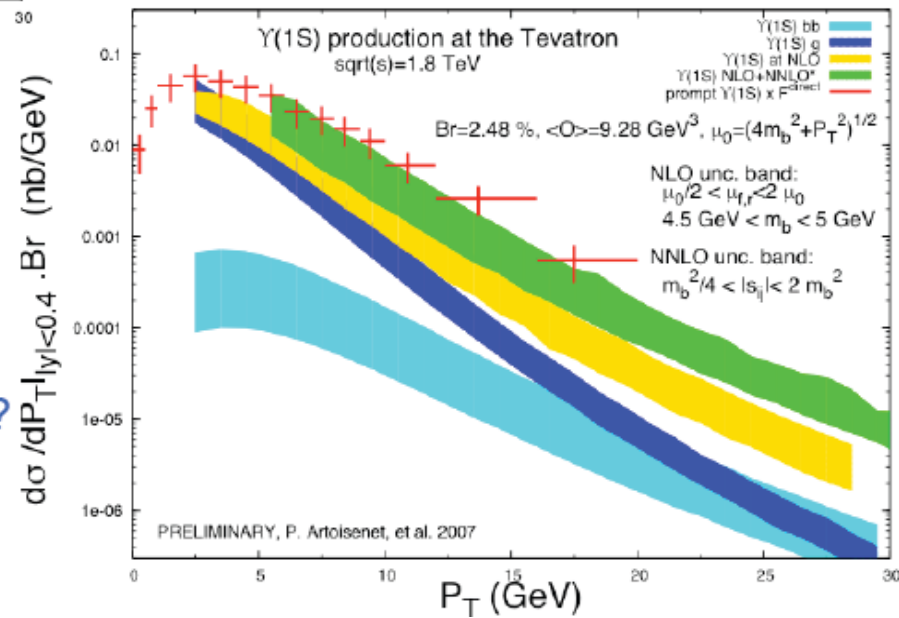


Color-singlet contribution for J/ψ and Upsilon production at Tevatron

P. Artoisenet, F. Maltoni, et.al. 2007

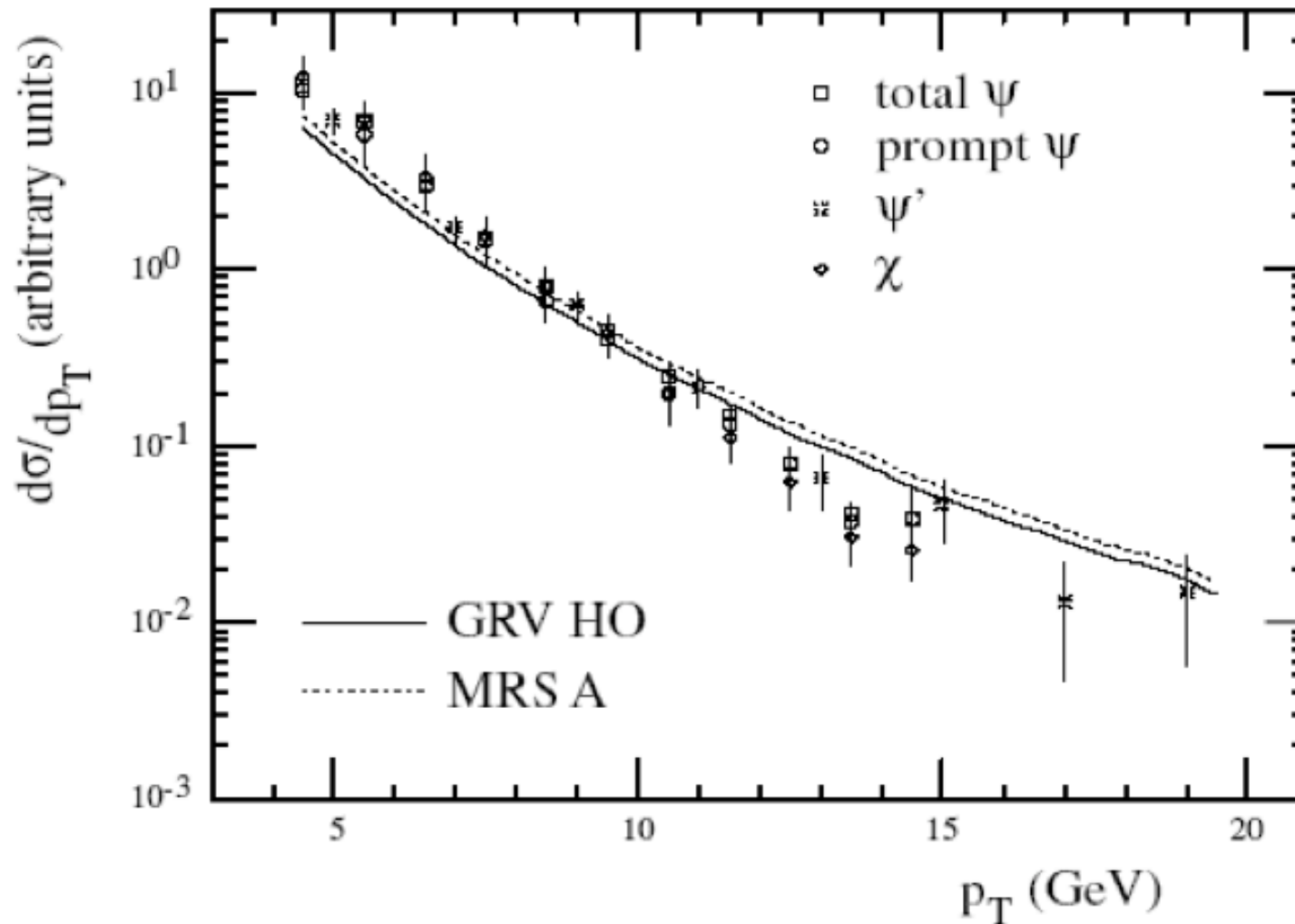
Large uncertainty band  
 ⇒ strong scale dependence

Large NLO, NNLO contribution  
 ⇒ how perturbative series converge?



# Color evaporation model

□ Good for total cross section, ok for  $P_T$ -distribution:



# NRQCD factorization

Bodwin, Braaten and Lepage 1995

## □ Conjectured factorization formula:

$$d\sigma_{AB \rightarrow H} = \sum_n d\hat{\sigma}_{AB \rightarrow [Q\bar{Q}(n)]}(P, m_Q) \langle \mathcal{O}_{[Q\bar{Q}(n)] \rightarrow H}(0) \rangle$$

## □ Sum over all quantum states of $Q\bar{Q}(n)$ pair:

Color + Spin + Pair's orbital angular momentum

## □ Cross section to produce the $Q\bar{Q}(n)$ pair:

Calculated in pQCD in power of  $\alpha_s$  – complete NLO, ...

## □ NRQCD matrix elements: $\langle \mathcal{O}_{[Q\bar{Q}(n)] \rightarrow H}(0) \rangle$

Infinite parameters – organized in relative velocity:  $v^n$

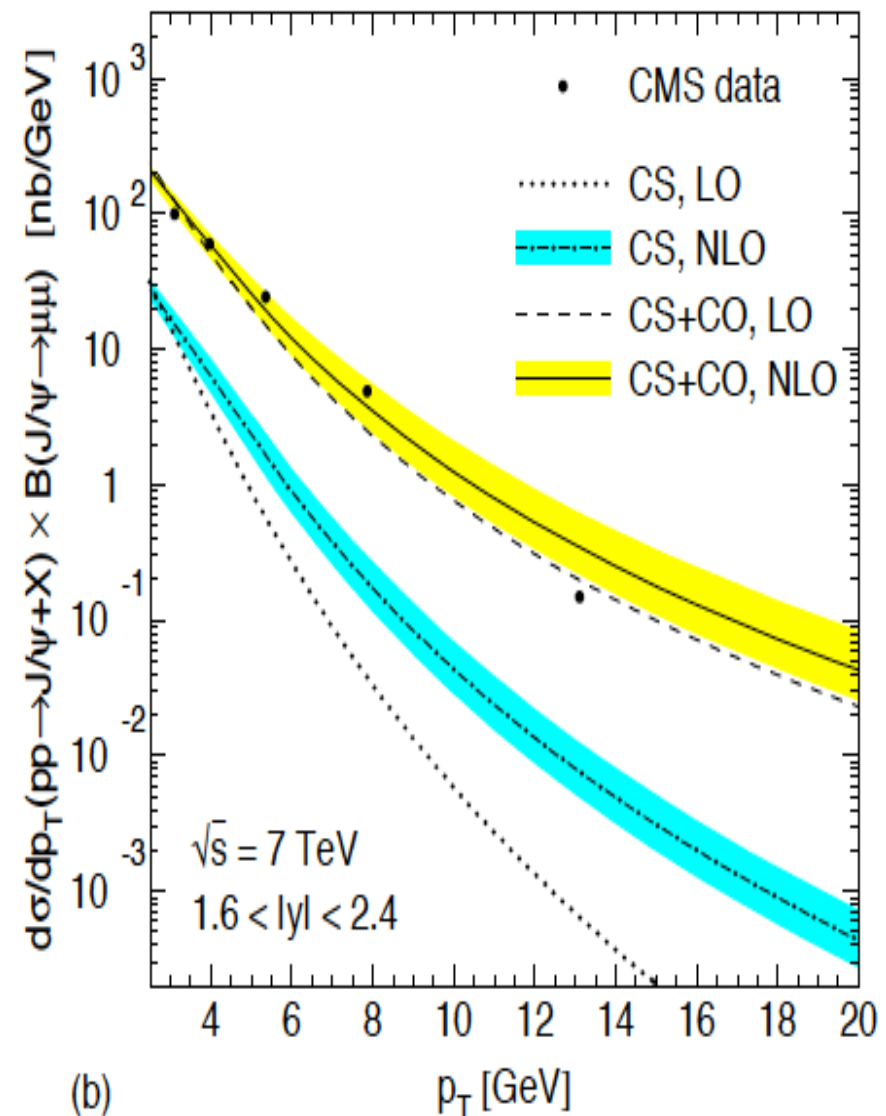
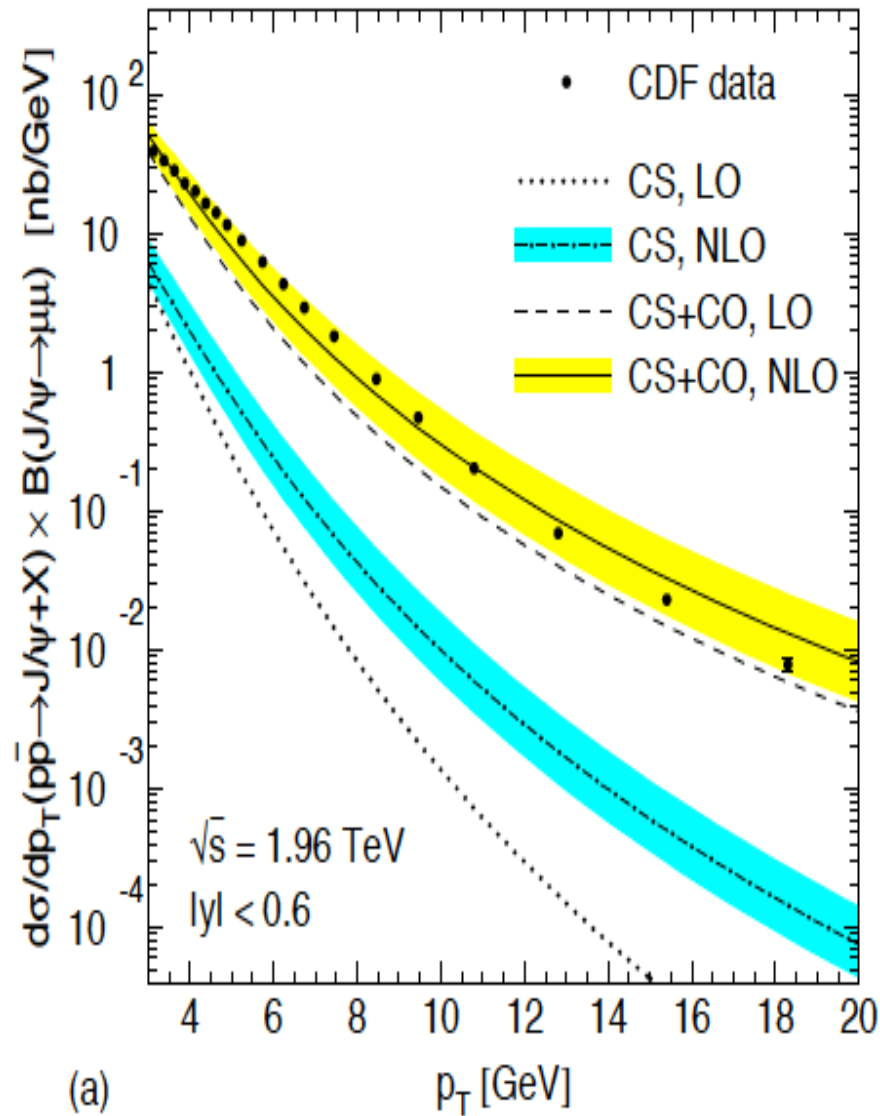
Fitted by experimental data

B. Kniehl's talk

Both color singlet model and color evaporation model  
are the special cases of NRQCD model

# Success of NRQCD model

B. Kniehl's talk

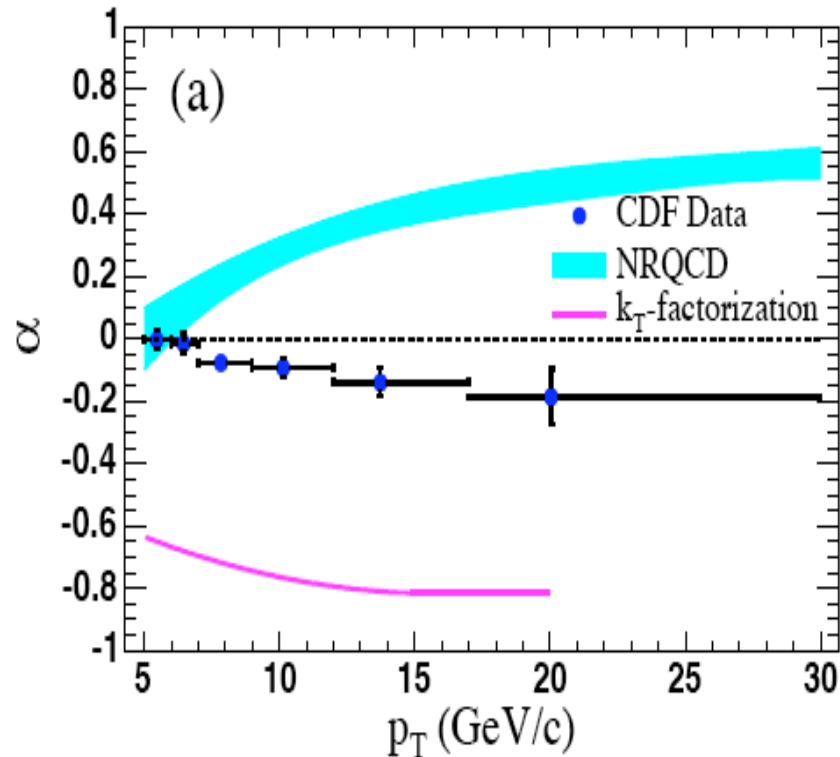


PRL 106, 022003 (2011)



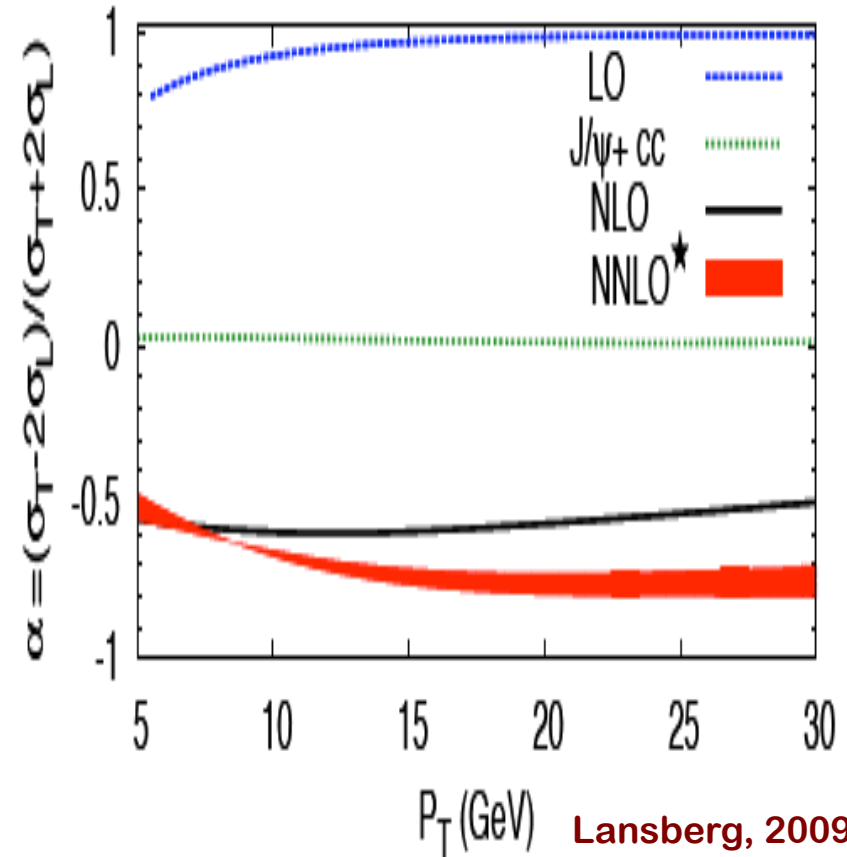
# Surprises from J/ $\psi$ polarization

NRQCD



Cho & Wise, Beneke & Rothstein, 1995, ...

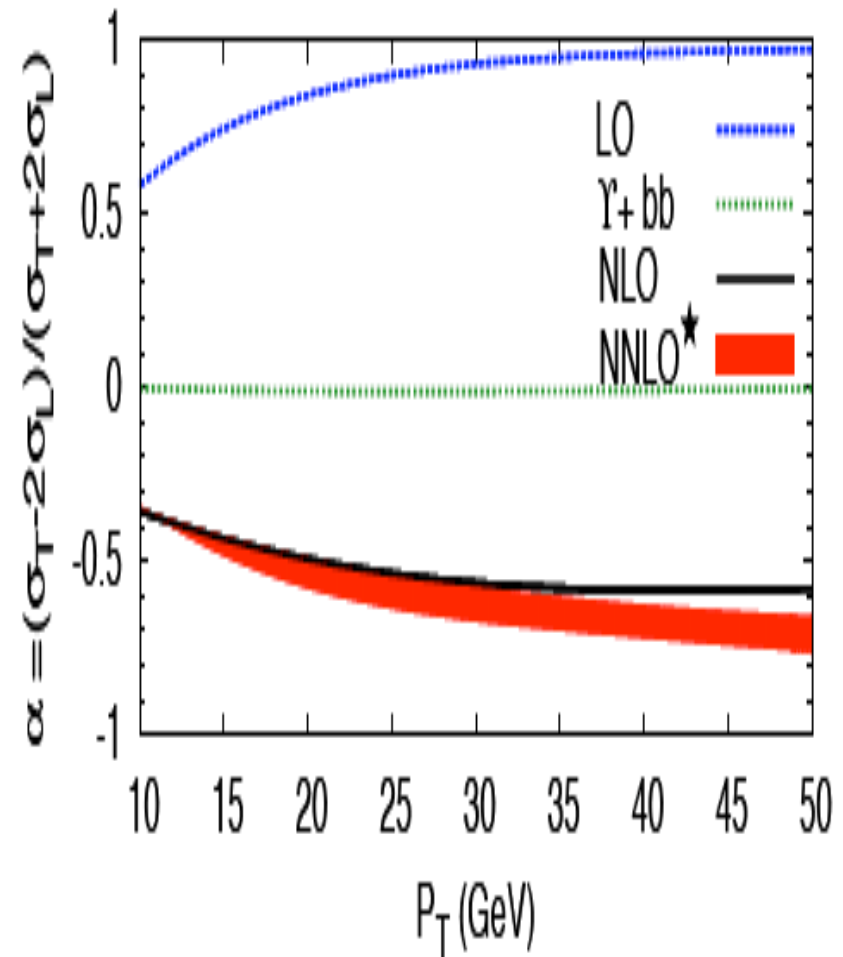
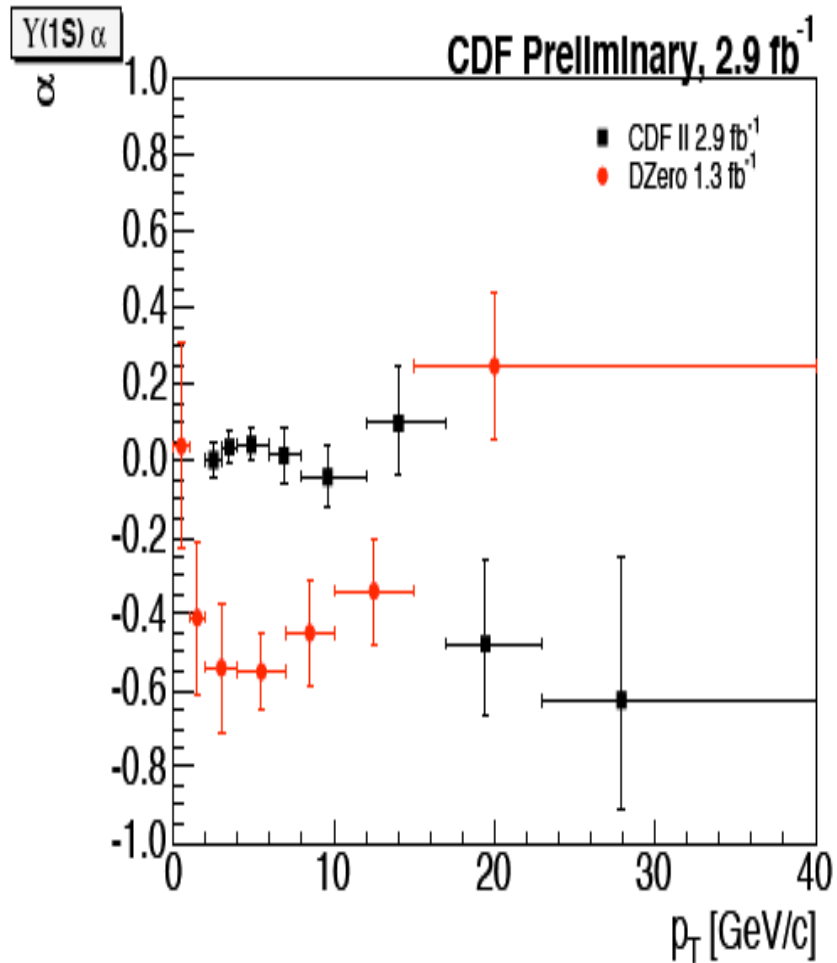
CSM



Lansberg, 2009

- ✧ NRQCD: Dominated by color octet – NLO is not a huge effect
- ✧ CSM: Huge NLO – change of polarization?

# Confusions from Upsilon polarization



Resolution between CDF and D0?

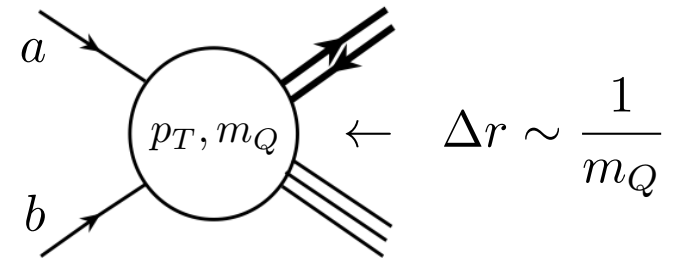
## Questions

- ❑ Why the high order corrections in CSM is so large?  
How many orders do we need to calculate?
- ❑ Why the high order CSM calculations predict longitudinally polarized  $J/\psi$ ?
- ❑ IF NNLO CSM is so large, what is the role of octet channel in NRQCD?
- ❑ Can NRQCD factorization be proved?
- ❑ Better factorization ? ...

# Why high orders in CSM are so large?

## □ Hard part in CSM (or NRQCD):

Expansion in power of  $\alpha_s$



$$\hat{\sigma}_{ab \rightarrow Q\bar{Q}}(p_T, m_Q, \mu, \alpha_s(\mu)) = \sum_n \hat{\sigma}_{ab \rightarrow Q\bar{Q}}^{(n)}(p_T, m_Q, \mu) \left( \frac{\alpha_s(\mu)}{2\pi} \right)^n$$

## □ Complication with more than one hard scale:

✧ IF  $p_T^2 \gg m_Q^2$ , high order in  $\alpha_s$  is NOT necessarily smaller!

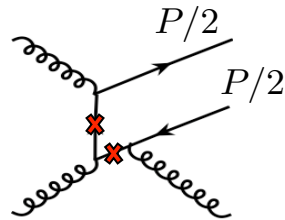
Different power in  $p_T^2$

✧ The size of the hard coefficients depends where the singlet pair was produced!

# $\alpha_s$ -expansion vs $1/p_T$ -expansion

- LO in  $\alpha_s$  but higher power in  $1/p_T$ :

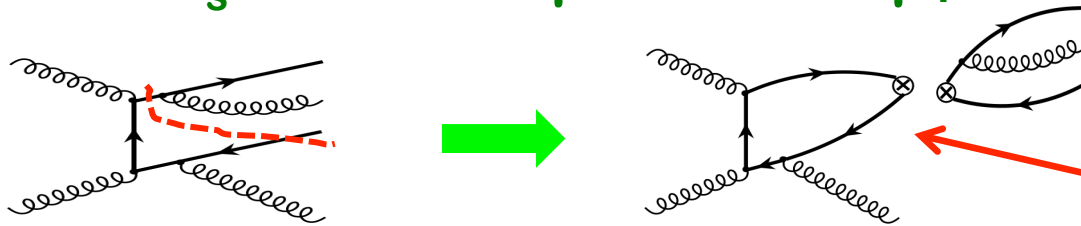
LO in  $\alpha_s$ :



$$\hat{\sigma}^{\text{LO}} \propto \frac{\alpha_s^3(p_T)}{p_T^8}$$

NNLP in  $1/p_T$ !

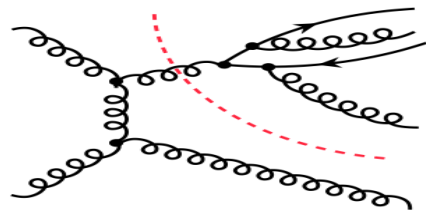
- NLO in  $\alpha_s$  but lower power in  $1/p_T$ :



Leading power  
Projection  
"Octet pair"

$$\hat{\sigma}^{\text{NLO}} \rightarrow \frac{\alpha_s^3(p_T)}{p_T^6} \otimes \alpha_s(\mu) \log(\mu^2/\mu_0^2)$$

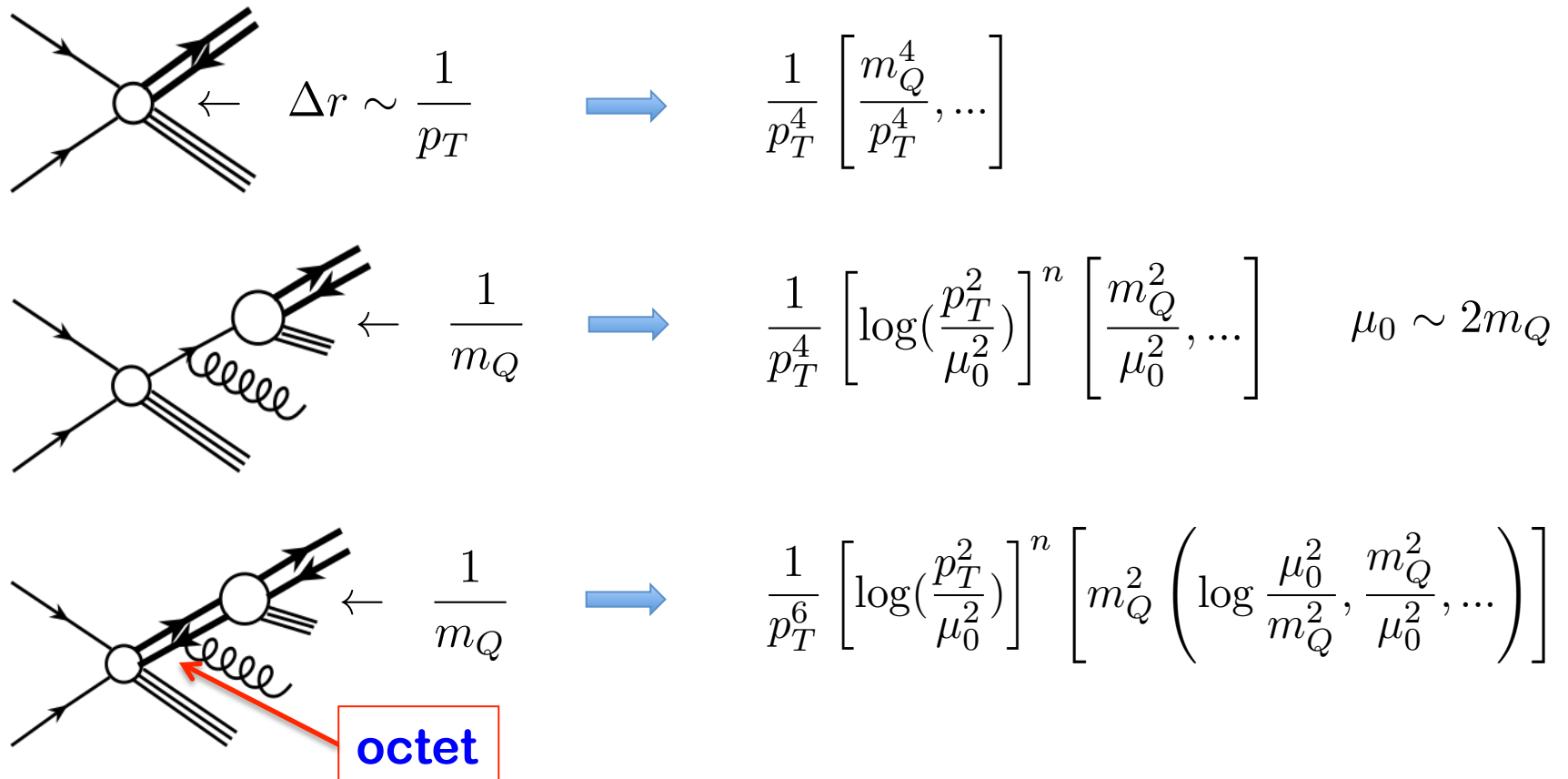
- NNLO in  $\alpha_s$  but leading power in  $1/p_T$ :



$$\hat{\sigma}^{\text{NNLO}} \rightarrow \frac{\alpha_s^2(p_T)}{p_T^4} \otimes \alpha_s^2(\mu) \log^m(\mu^2/\mu_0^2)$$

# QCD power counting

## □ Power counting for the hard part:



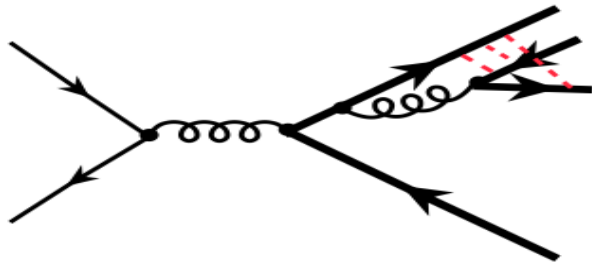
The heavy quark pair can be produced between  $1/p_T$  and  $1/m_Q$

# Issues with NRQCD factorization

## □ NRQCD factorization is ideal for quarkonium decay:

- ✧ Quarkonium mass determines the available energy
- ✧ Velocity expansion is justified

## □ NRQCD model is ill-defined for associate production:



- ✧ More than one velocity
- ✧ Coulomb singularity

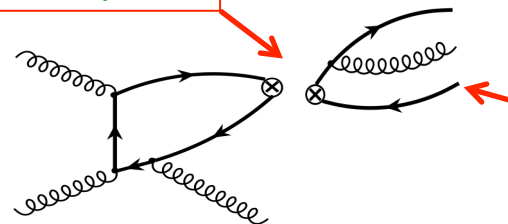
Nayak, Qiu, and Sterman, 2007

## □ No proof of factorization for the production:

- ✧ Heavy quark velocity can be large in high energy production

Larger phase space for the pair

$$P_T^2 \gg M_{c\bar{c}}^2 \gtrsim M_{J/\psi}^2$$



The pair radiates semi-hard gluons, and evolves in color and spin

# PQCD factorization

## □ Ideas:

Nayak, Qiu, and Sterman, 2005  
Kang, Qiu and Sterman, 2010

- ✧ Expand cross section in powers of  $\mu_0^2/p_T^2$  with  $\mu_0 \gtrsim 2m_Q$
- ✧ Resum logarithmic contribution into “fragmentation functions”
- ✧ Apply NRQCD to input fragmentation functions at  $\mu_0 \sim 2m_Q$

## □ Factorization – all orders in $\alpha_s$ :

$$E \frac{d\sigma_{J/\psi}}{d^3P} : \left| \begin{array}{c} \text{[Diagram 1]} + \text{[Diagram 2]} + \dots \\ \text{[Diagram 3]} \log^n \left( \frac{P_T^2}{\mu_0^2} \right) + \text{[Diagram 4]} \mu_0^2 \log^n \left( \frac{P_T^2}{\mu_0^2} \right) \\ \text{[Diagram 5]} + \text{[Diagram 6]} \end{array} \right| \mathbf{2}$$

$\mu_0 \sim 2m_Q$

$\mathcal{O} \left( \frac{1}{P_T^4} \right)$

$\mathcal{O} \left( \frac{1}{P_T^6} \right)$

Power series in  $\alpha_s$  without large logarithms



# Perturbative coefficients

Kang, Qiu and Sterman, 2010

## Factorization formalism:

$$\begin{aligned}
 d\sigma_{A+B \rightarrow H+X}(p_T) &= \sum_i d\hat{\sigma}_{A+B \rightarrow i+X}(p_T/z, \mu) \otimes D_{i \rightarrow H}(z, m_Q, \mu) \\
 &+ \sum_{[Q\bar{Q}(\kappa)]} d\hat{\sigma}_{A+B \rightarrow [Q\bar{Q}(\kappa)]+X}(P_{[Q\bar{Q}(\kappa)]} = p_T/z, \mu) \\
 &+ \mathcal{O}(m_Q^4/p_T^4) \otimes D_{[Q\bar{Q}(\kappa)] \rightarrow H}(z, m_Q, \mu)
 \end{aligned}$$

## Partonic hard parts – subtraction at tree-level:

$$\hat{\sigma}_{q\bar{q} \rightarrow [Q\bar{Q}]g}^{(3)} = \sigma_{q\bar{q} \rightarrow [Q\bar{Q}]g}^{(3)} - \sigma_{q\bar{q} \rightarrow g}^{(2)} \otimes D_{g \rightarrow [Q\bar{Q}]}^{(1)}$$

$\frac{\alpha_s^3(\mu)}{p_T^6}$  (points to  $\hat{\sigma}_{q\bar{q} \rightarrow [Q\bar{Q}]g}^{(3)}$ )  
 $\frac{\alpha_s^2(\mu)}{p_T^4}$  (points to  $\sigma_{q\bar{q} \rightarrow g}^{(2)}$ )  
 $\frac{\alpha_s(2m_Q)}{(2m_Q)^2}$  (points to  $D_{g \rightarrow [Q\bar{Q}]}^{(1)}$ )

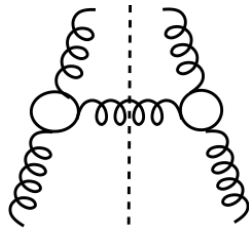
$$H_{q\bar{q} \rightarrow [Q\bar{Q}]g}^{(3)} = \left( \frac{4\pi\alpha_s}{\hat{s}} \right) \left[ \frac{(N_c^2 - 1)^2}{N_c^3} \left[ 1 + \frac{2\hat{t}\hat{u}}{\hat{s}^2} \right] \left[ \frac{\hat{s}^2}{\hat{t}\hat{u}} - 1 \right] - \frac{N_c^2 - 1}{2N_c} \left[ 4 + \frac{\hat{t}^2 + \hat{u}^2}{\hat{s}^2} \right] \right]$$

Normalized to 2 → 2 amplitude square

# Evolution and evolution kernels

Kang, Qiu and Sterman, 2010

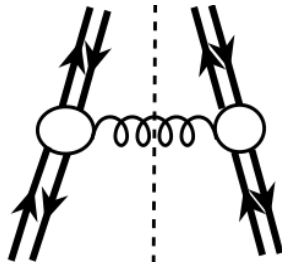
## □ Single parton:



Same as normal DGLAP  
Difference from input distribution

$$D_{g \rightarrow H}(z, \mu_0, m_Q)$$

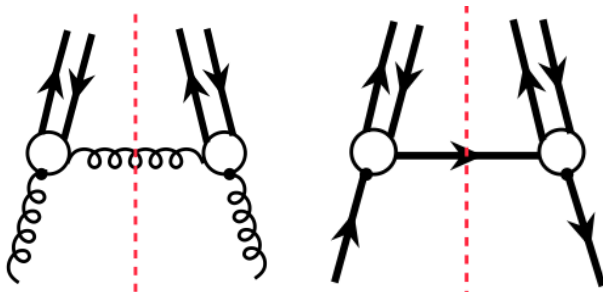
## □ Heavy quark pair:



Differ from DGLAP – still logarithmic  
Spin-color sensitive  
Infrared safe evolution

$$D_{[Q\bar{Q}(\kappa)] \rightarrow H}(z, \mu_0, m_Q)$$

## □ Mixing:



Non-logarithmic contribution  
to the evolution  
Needed to remove CO divergence

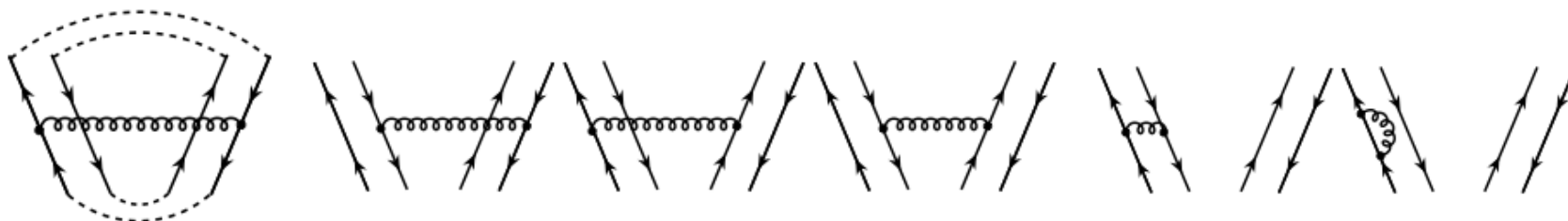
$$\sigma_{q\bar{q} \rightarrow Q\bar{Q}gg}^{(4)} \quad \text{NLO to } \hat{\sigma}_{q\bar{q} \rightarrow Q\bar{Q}}$$

# Evolution equations

## □ Evolution of a heavy quark pair:

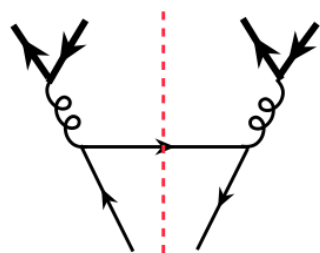
$$\frac{d}{d \ln \mu^2} D_{[Q\bar{Q}] \rightarrow H}(z, \mu^2) = \frac{\alpha_s}{2\pi} K_{[Q\bar{Q}] \rightarrow [Q\bar{Q}]} \otimes D_{[Q\bar{Q}] \rightarrow H}(z, \mu^2)$$

## □ Evolution kernel:



$$K_{[Q\bar{Q}] \rightarrow [Q\bar{Q}]}(z) = 4C_F \left[ z(1-z) + \frac{z}{(1-z)_+} + \frac{3}{4} \delta(1-z) \right]$$

## □ Mixing kernel:



$$\gamma_{q \rightarrow g}^{(1)}(z) \otimes D_{g \rightarrow [Q\bar{Q}]}^{(1)}(z) + K_{q \rightarrow [Q\bar{Q}]}^{(2)}(z)$$

# NRQCD for input distributions

- **Input distributions are universal, non-perturbative:**  
Should, in principle, be extracted from experimental data

- **NRQCD – single parton distributions:**

$$D_{g \rightarrow J/\psi}(z, \mu_0, m_Q) \rightarrow \sum_{[Q\bar{Q}(c)]} \hat{d}_{g \rightarrow [Q\bar{Q}(c)]}(z, \mu_0, m_Q) \langle \mathcal{O}_{[Q\bar{Q}(c)]}(0) \rangle |_{\text{NRQCD}}$$

Nayak, Qiu and Sterman, 2005

Dominated by transverse polarization

- **NRQCD – heavy quark pair:**

$$D_{[Q\bar{Q}(\kappa) \rightarrow J/\psi]}(z, \mu_0, m_Q) \rightarrow \sum_{[Q\bar{Q}(c)]} \hat{d}_{[Q\bar{Q}(\kappa) \rightarrow [Q\bar{Q}(c)]]}(z, \mu_0, m_Q) \langle \mathcal{O}_{[Q\bar{Q}(c)]}(0) \rangle |_{\text{NRQCD}}$$

Kang, Qiu and Sterman, 2010

Dominated by longitudinal polarization

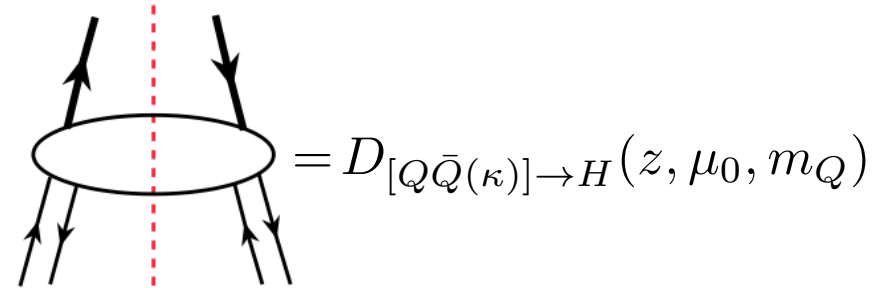
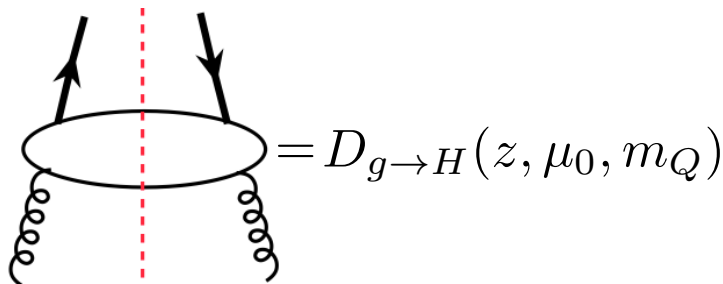
- **No proof of such factorization yet!**

Single parton case was verified to two-loops (with gauge links)!

Nayak, Qiu and Sterman, 2005

# Quarkonium polarization

□ Fragmentation evolves perturbatively until  $\mu_0 \sim 2m_Q$ :



□ Polarization of heavy quark pair:  $[Q\bar{Q}] \rightarrow H$

$$D_{[Q\bar{Q}] \rightarrow H}(z) = \sum_n d_{[Q\bar{Q}] \rightarrow [Q\bar{Q}(n)]}(z) \langle \mathcal{O}_{[Q\bar{Q}(n)] \rightarrow H}(0) \rangle$$

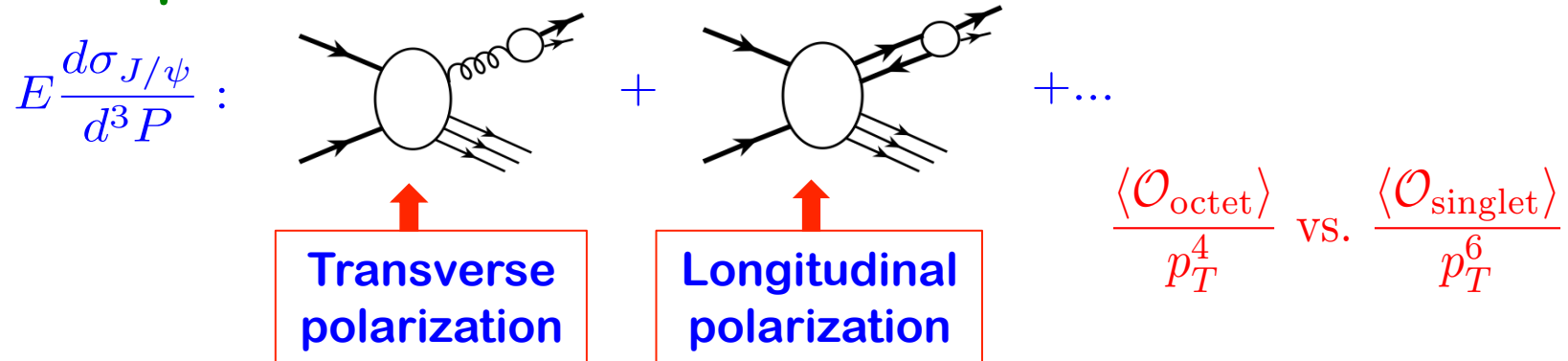
$$D_{[Q\bar{Q}] \rightarrow H}(z, \mu^2) = D_{[Q\bar{Q}] \rightarrow H}^L(z, \mu^2) + 2D_{[Q\bar{Q}] \rightarrow H}^T(z, \mu^2)$$

$$D_{[Q\bar{Q}] \rightarrow H}^L(z, \mu^2) = \frac{\alpha_s}{2\pi} \frac{\langle O^1(3S_1) \rangle}{2m} \int_{4m^2/z}^{\mu^2} dp_c^2 \frac{C_F}{N_c^2} \left[ \frac{z(1-z)}{p_c^2 - 4m^2} - \frac{4m^2(1-z)^2}{(p_c^2 - 4m^2)^2} \right]$$

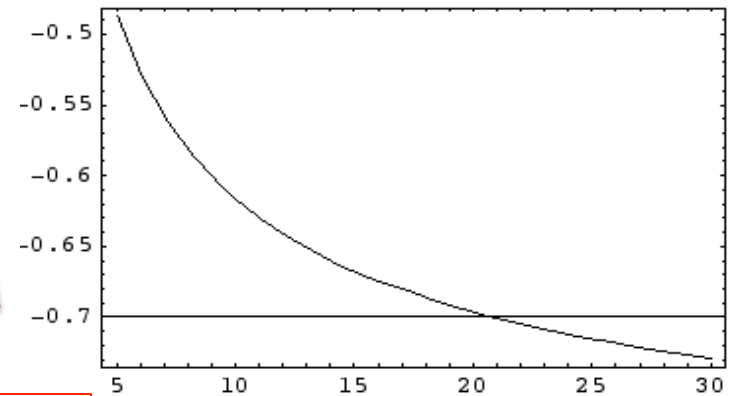
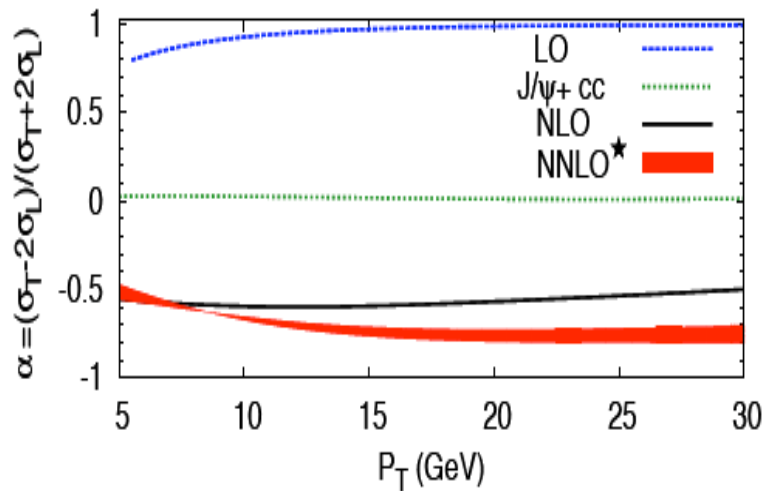
$$D_{[Q\bar{Q}] \rightarrow H}^T(z, \mu^2) = \frac{\alpha_s}{2\pi} \frac{\langle O^1(3S_1) \rangle}{2m} \int_{4m^2/z}^{\mu^2} dp_c^2 \frac{C_F}{N_c^2} \left[ \frac{4m^2(1-z)^2}{(p_c^2 - 4m^2)^2} \right]$$

# Heavy quarkonium polarization

## Competition between LP and NLP:



## Polarization:



Scale!

FF reproduces the polarization of CSM at NLO

## Summary

- Propose a new QCD factorization formula for heavy quarkonium production:
  - Expansion in power of  $1/p_T$  first
$$\sigma(p_T) = \text{LP} + \text{NLP} + \text{“non-factorized”}$$
  - Expansion in power of  $\alpha_s$  for each power
- Both LP and NLP in hard production dominated by octet channels (octet single parton & octet QQbar pair)
- Observed polarization of prompt quarkonium should be a result of competition between LP and NLP
- $J/\psi$  polarization at the LHC is very interesting

***Thank you!***



**Backup slices**

# Success of NRQCD model (II)

