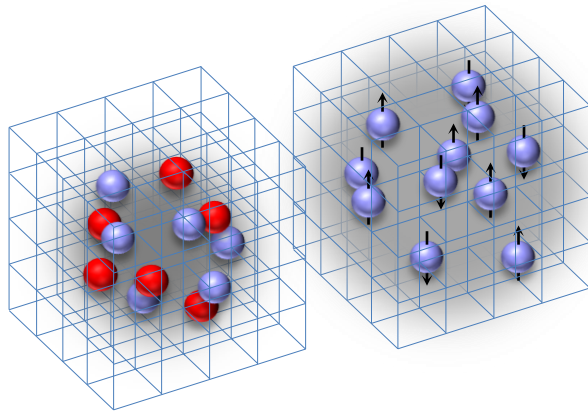


Nuclear physics from lattice effective field theory



Dean Lee (NC State)

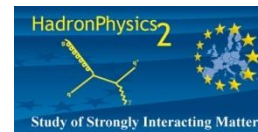
work done in collaboration with

Evgeny Epelbaum (Bochum)

Hermann Krebs (Bochum)

Ulf-G. Meißner (Bonn/Jülich)

Strong interactions: From methods to structures
Bad Honnef, February 12-16, 2011



Outline

Chiral effective field theory for nucleons

Lattice effective field theory

Lattice interactions and scattering data

Three-nucleon forces

Isospin breaking and Coulomb interaction

Euclidean time projection and auxiliary fields

Ground states for helium-4, beryllium-8, carbon-12

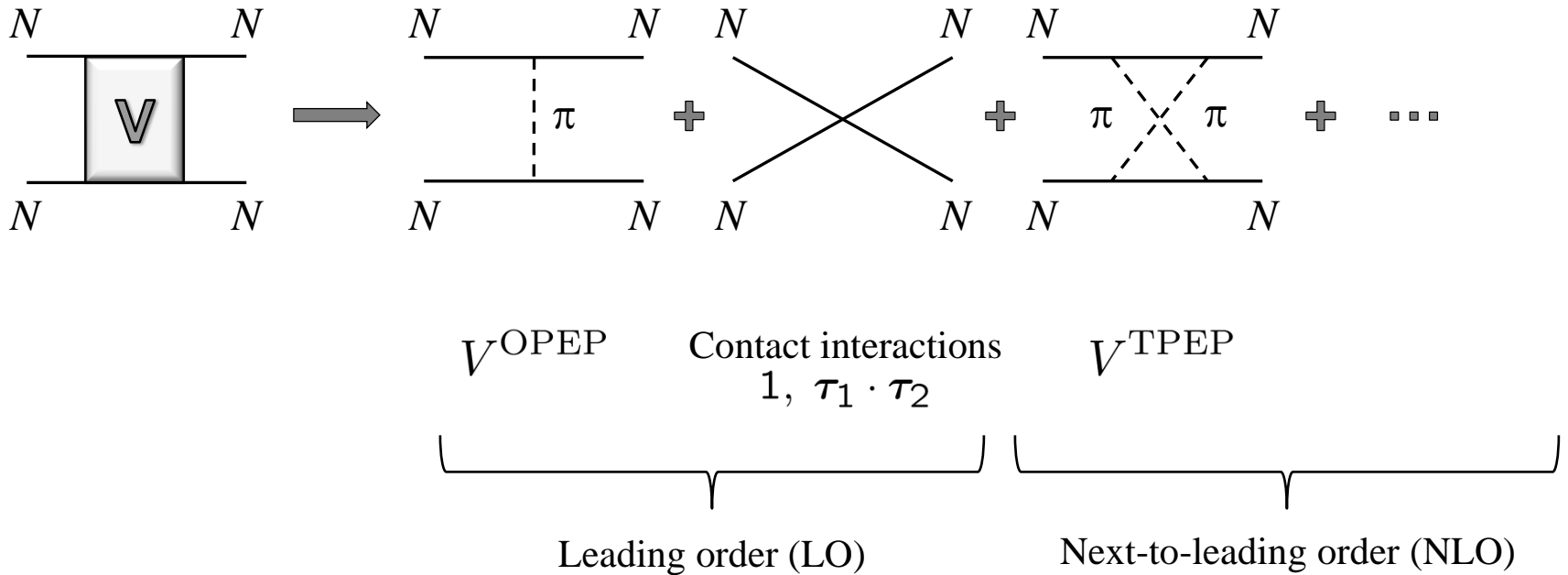
Carbon-12 spectrum and the Hoyle state

Summary and future directions

Chiral EFT for low-energy nucleons

Weinberg, *PLB* 251 (1990) 288; *NPB* 363 (1991) 3

Construct the effective potential order by order



Nuclear Scattering Data

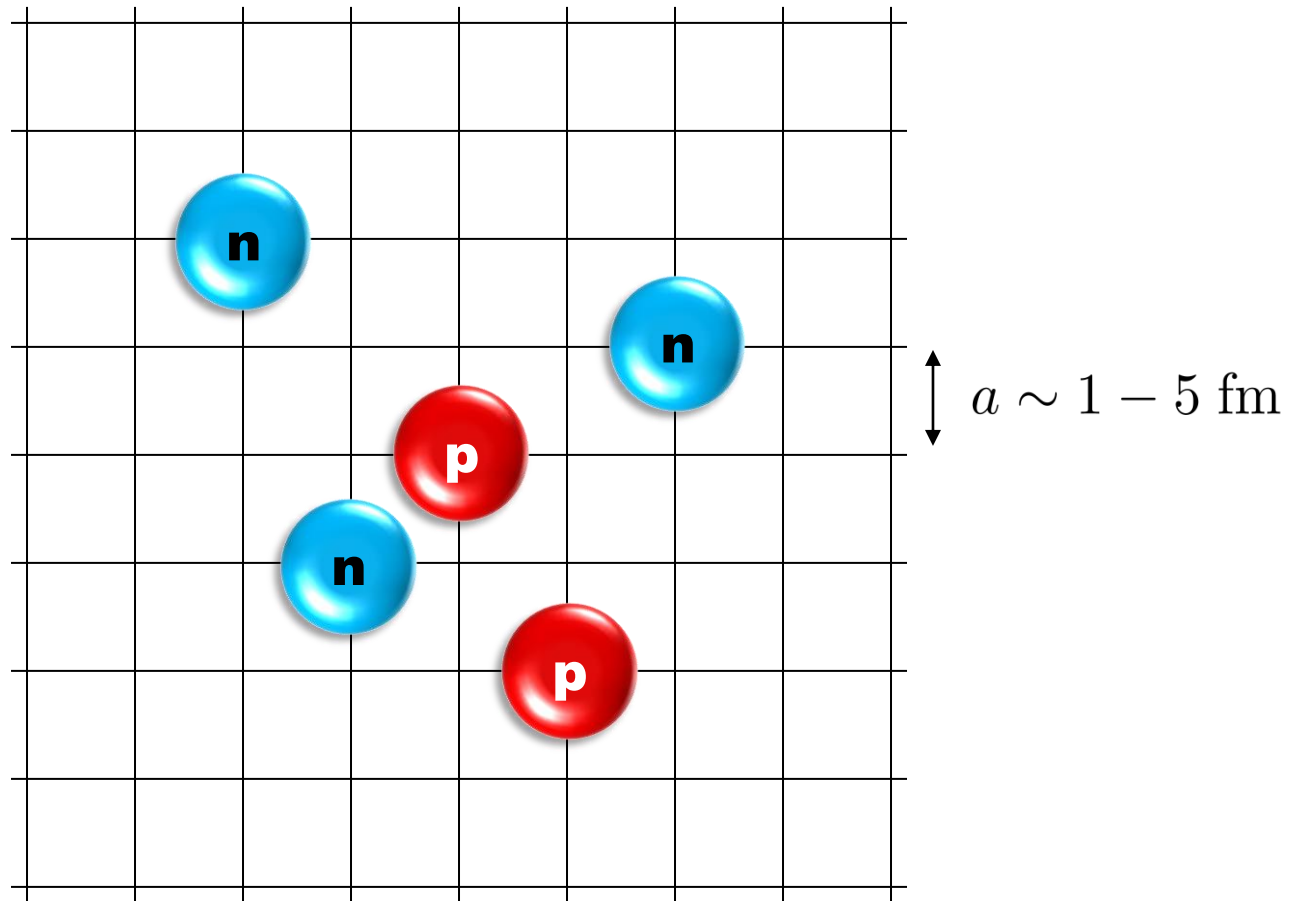


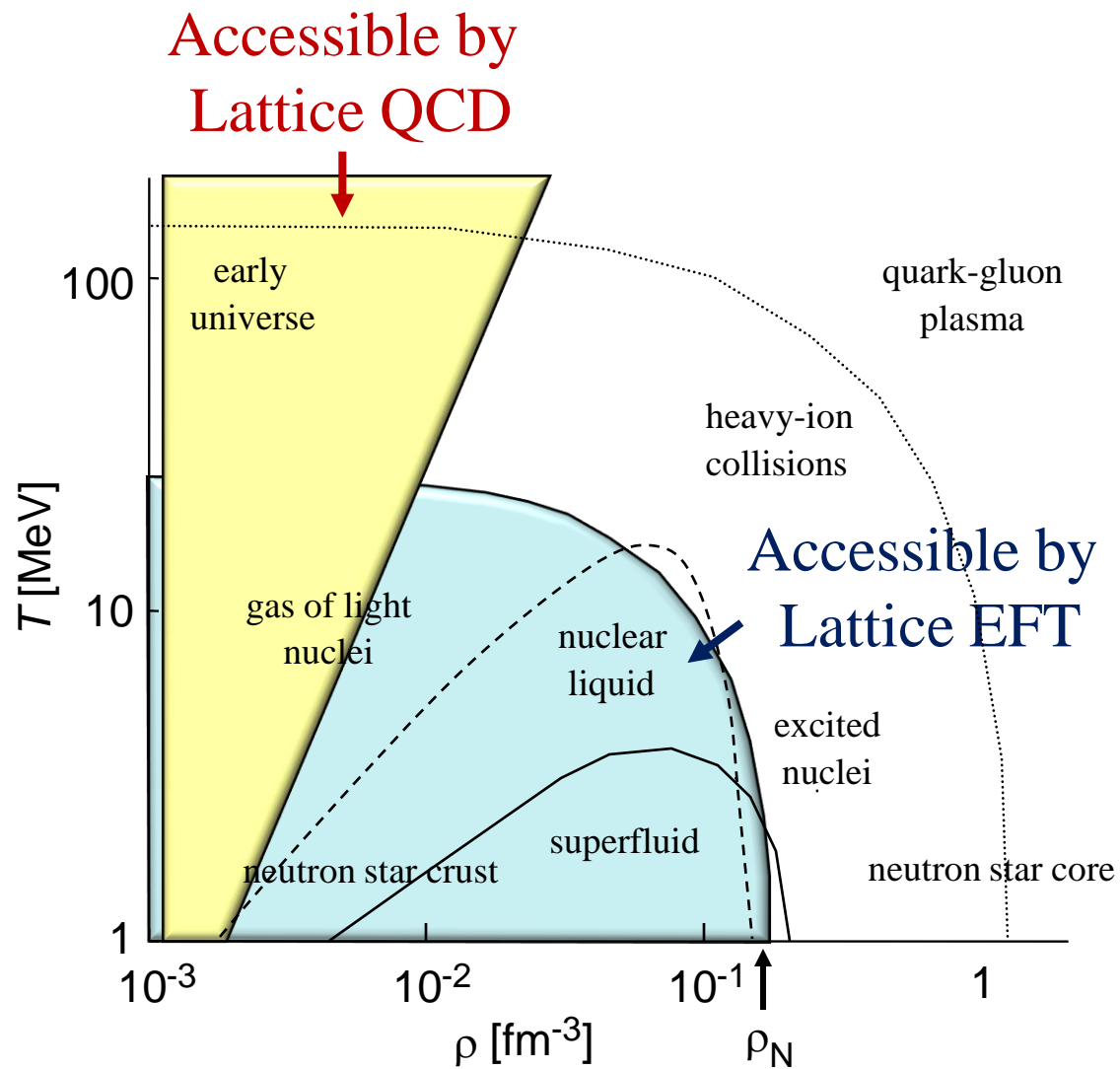
Effective Field Theory

*Ordonez et al. '94; Friar & Coon '94;
Kaiser et al. '97; Epelbaum et al. '98, '03;
Kaiser '99-'01; Higa et al. '03;
Entem & Machleidt '01, '03...*

	2N forces	3N forces	4N forces
LO $O(Q^0)$			
NLO $O(Q^2)$			
N ² LO $O(Q^3)$			
N ³ LO $O(Q^4)$			
	+ ...	+ ...	+ ...

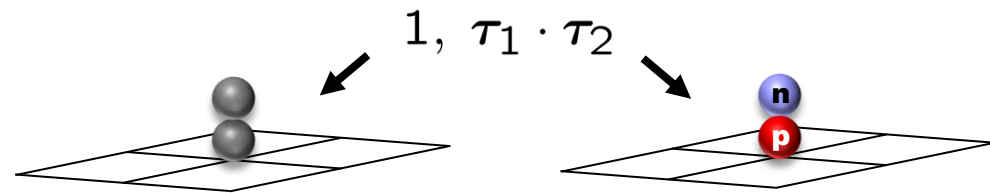
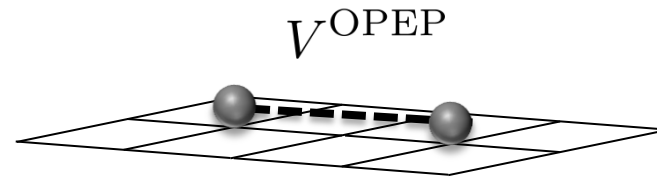
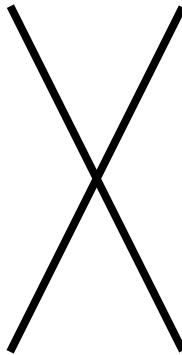
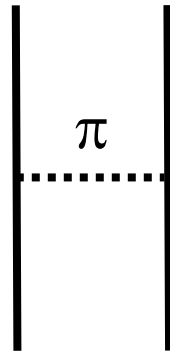
Lattice EFT for nucleons



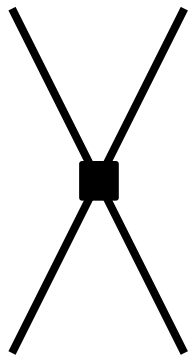
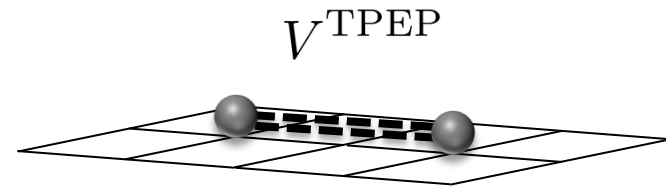
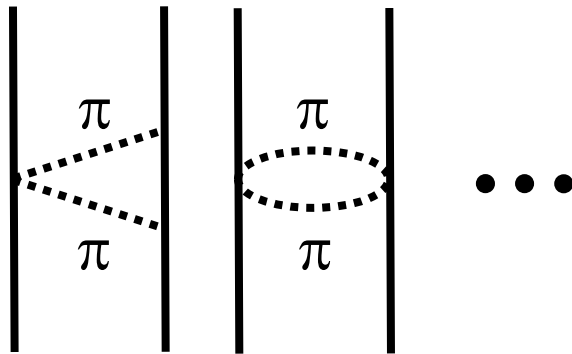


Lattice interactions

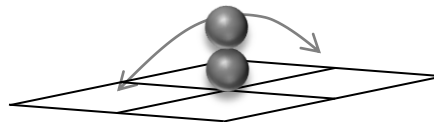
Leading order on the lattice



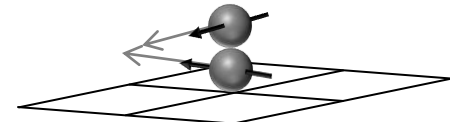
Next-to-leading order on the lattice



$$\vec{\nabla}_1 \cdot \vec{\nabla}_2$$

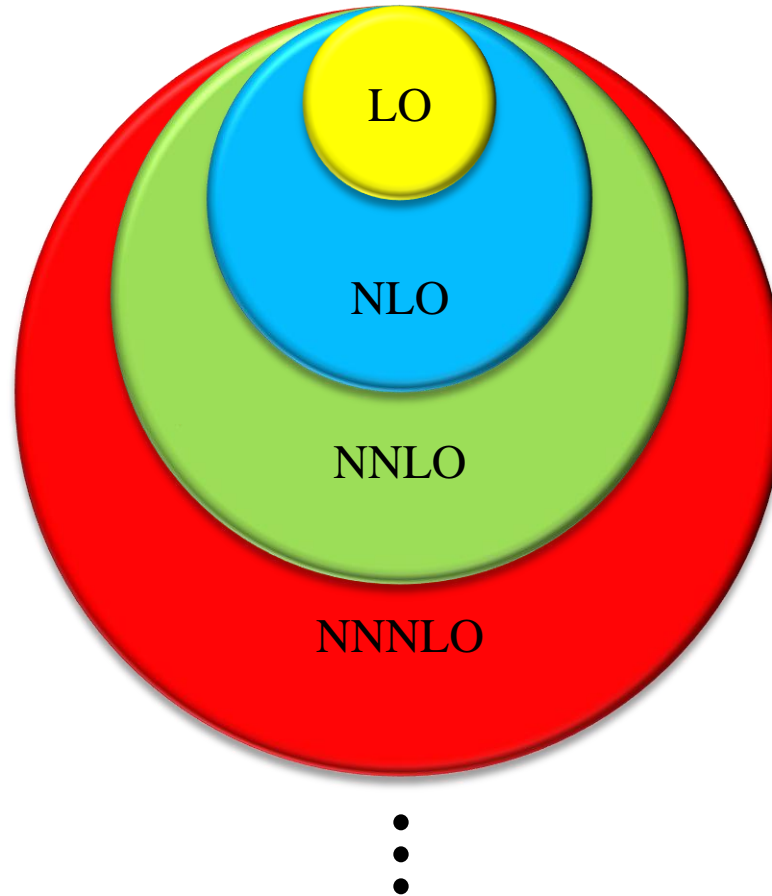


$$(\vec{\sigma}_1 \cdot \vec{\nabla}_1) (\vec{\sigma}_2 \cdot \vec{\nabla}_2)$$



...

Computational strategy

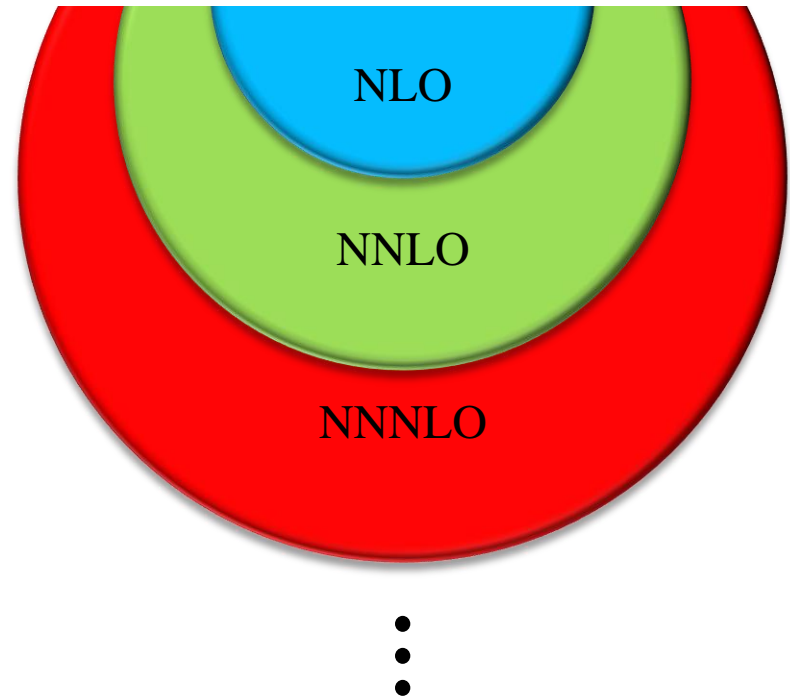


Non-perturbative – Monte Carlo



“Improved LO”

Perturbative corrections



LO₁: Pure contact interactions

$$\mathcal{A}(V_{\text{LO}_1}) = C + C_I \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 + \mathcal{A}(V^{\text{OPEP}})$$

LO₂: Gaussian smearing

$$\mathcal{A}(V_{\text{LO}_2}) = C f(\vec{q}^2) + C_I f(\vec{q}^2) \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 + \mathcal{A}(V^{\text{OPEP}})$$

LO₃: Gaussian smearing only in even partial waves

$$\begin{aligned} \mathcal{A}(V_{\text{LO}_3}) = & C_{S=0, I=1} f(\vec{q}^2) \left(\frac{1}{4} - \frac{1}{4} \vec{\sigma}_1 \cdot \vec{\sigma}_2 \right) \left(\frac{3}{4} + \frac{1}{4} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \right) \\ & + C_{S=1, I=0} f(\vec{q}^2) \left(\frac{3}{4} + \frac{1}{4} \vec{\sigma}_1 \cdot \vec{\sigma}_2 \right) \left(\frac{1}{4} - \frac{1}{4} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \right) \\ & + \mathcal{A}(V^{\text{OPEP}}) \end{aligned}$$

Physical
scattering data



Unknown operator
coefficients

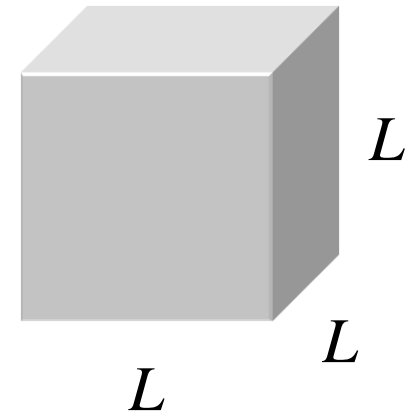
Lüscher's finite-volume formula

Lüscher, Comm. Math. Phys. 105 (1986) 153; NPB 354 (1991) 531

Two-particle energy levels near threshold
in a periodic cube related to phase shifts

$$p \cot \delta_0(p) = \frac{1}{\pi L} S(\eta), \quad \eta = \left(\frac{Lp}{2\pi}\right)^2$$

$$S(\eta) = \lim_{\Lambda \rightarrow \infty} \left[\sum_{\vec{n}} \frac{\theta(\Lambda^2 - \vec{n}^2)}{\vec{n}^2 - \eta} - 4\pi\Lambda \right]$$



Not so useful for higher total spin and partial wave mixing

Physical scattering data

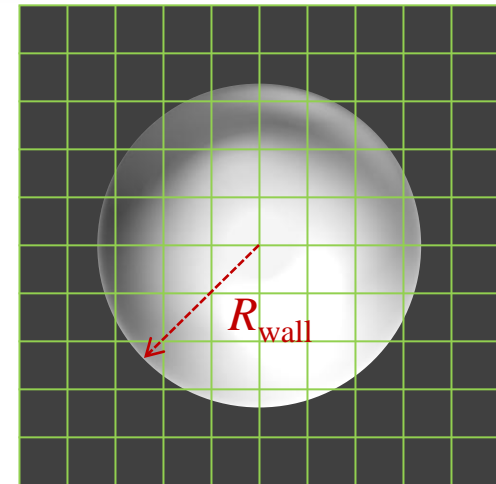


Unknown operator coefficients

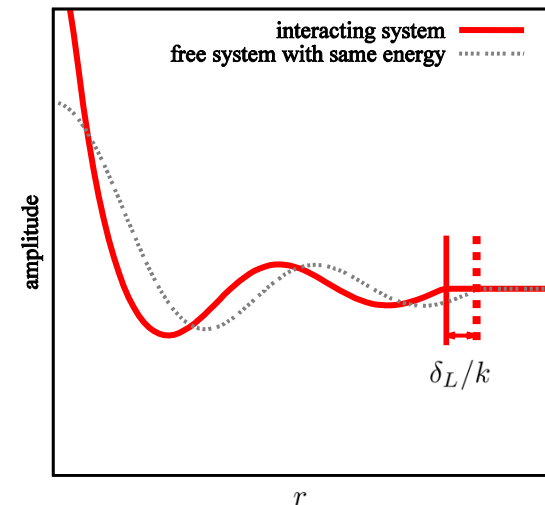
Spherical wall method

Borasoy, Epelbaum, Krebs, D.L., Meißner, EPJA 34 (2007) 185

Spherical wall imposed in the center of mass frame



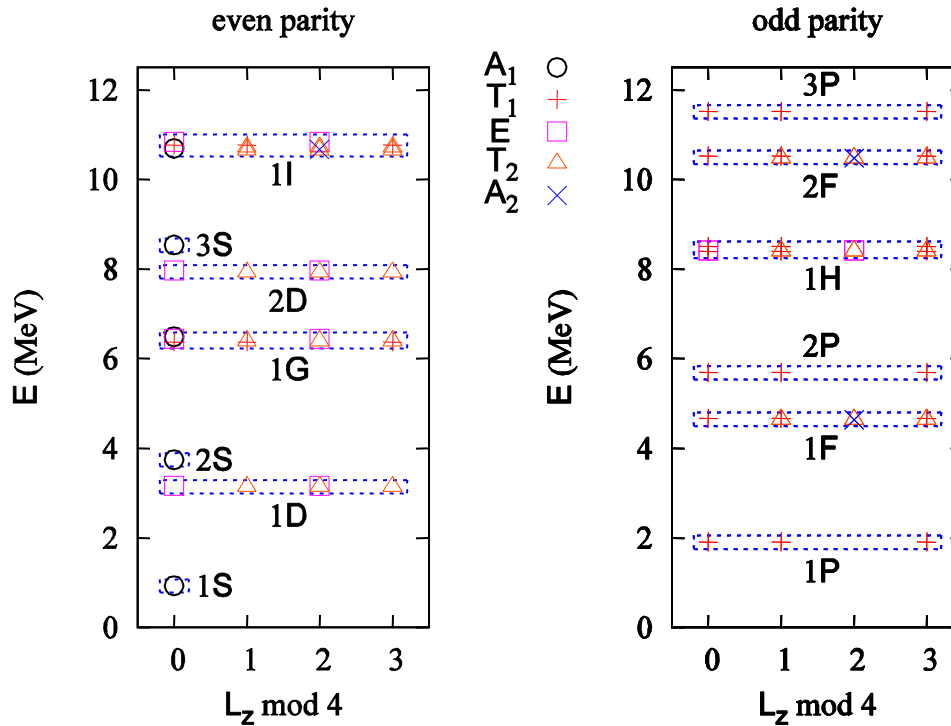
Representation	J_z	Example
A_1	$0 \bmod 4$	$Y_{0,0}$
T_1	$0, 1, 3 \bmod 4$	$\{Y_{1,0}, Y_{1,1}, Y_{1,-1}\}$
E	$0, 2 \bmod 4$	$\left\{Y_{2,0}, \frac{Y_{2,-2}+Y_{2,2}}{\sqrt{2}}\right\}$
T_2	$1, 2, 3 \bmod 4$	$\left\{Y_{2,1}, \frac{Y_{2,-2}-Y_{2,2}}{\sqrt{2}}, Y_{2,-1}\right\}$
A_2	$2 \bmod 4$	$\frac{Y_{3,2}-Y_{3,-2}}{\sqrt{2}}$



Energy levels with hard spherical wall

$$R_{\text{wall}} = 10a$$

$$a = 1.97 \text{ fm}$$

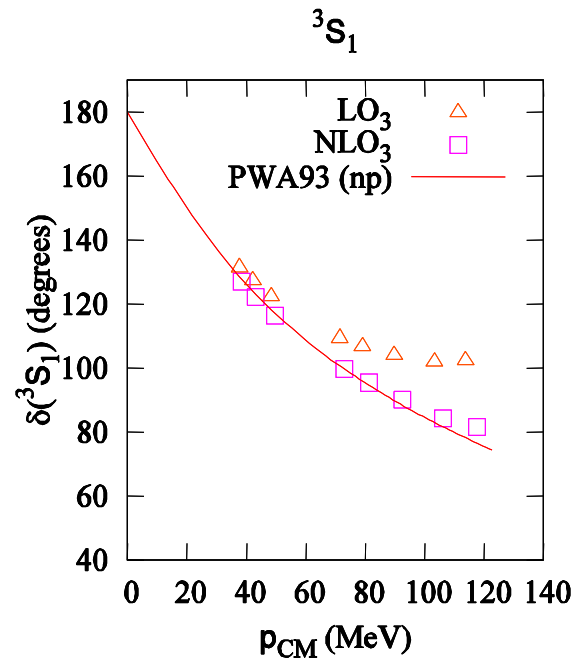
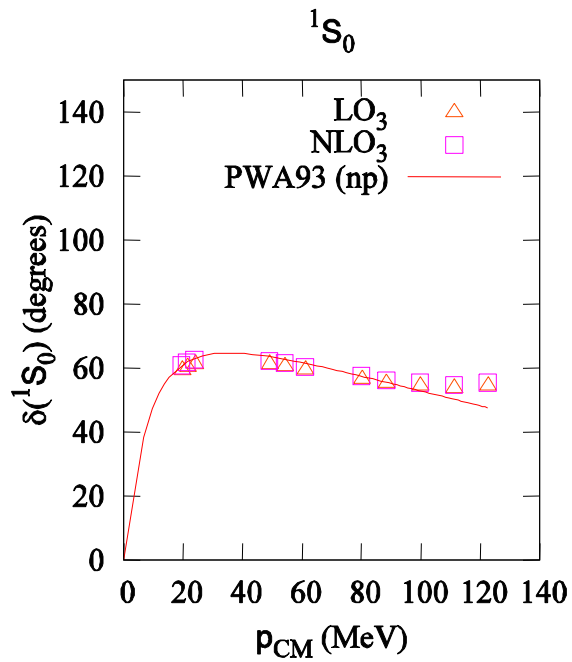


Energy shift from free-particle values gives the phase shift

Nucleon-nucleon phase shifts

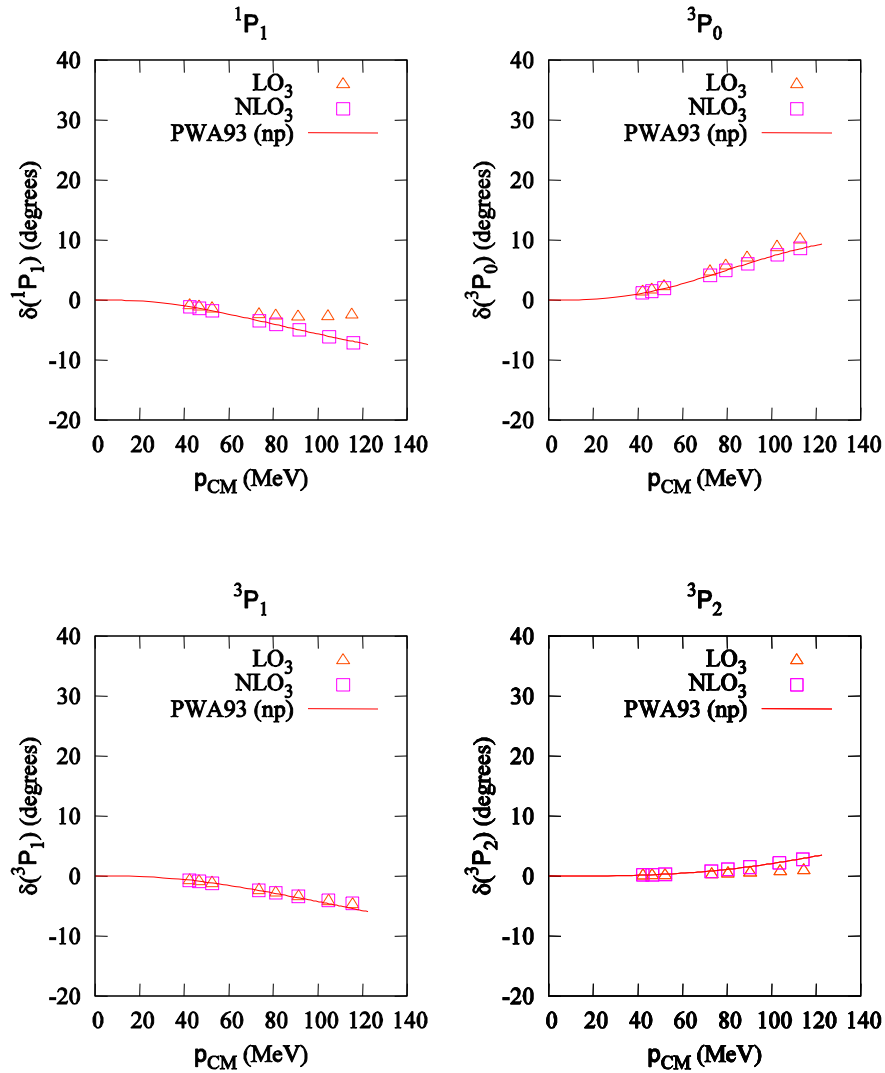
LO₃: S waves

$a = 1.97$ fm



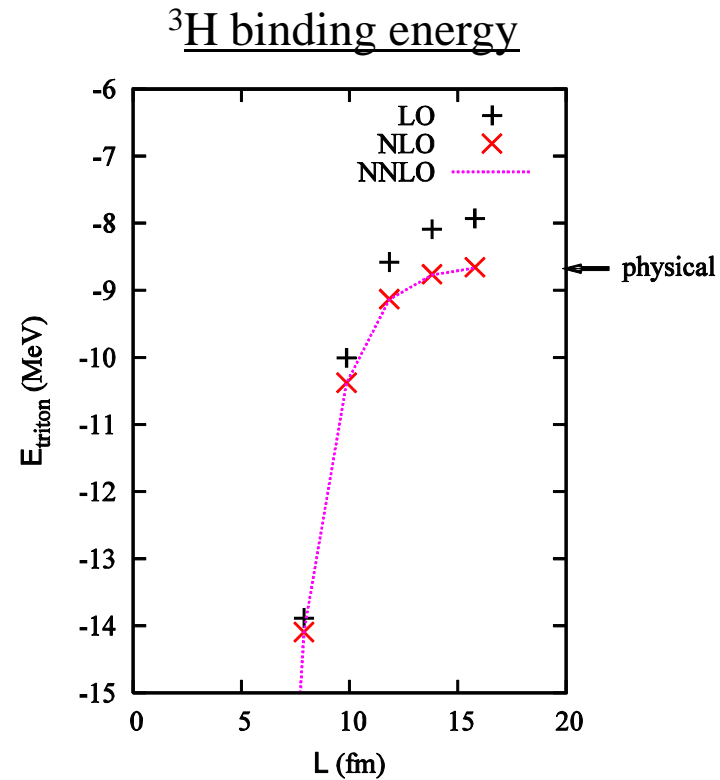
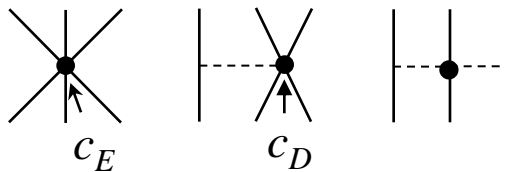
LO₃: P waves

$a = 1.97$ fm



Three-nucleon forces

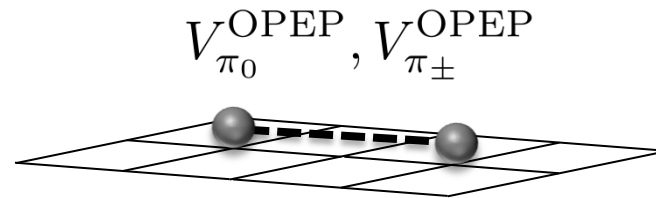
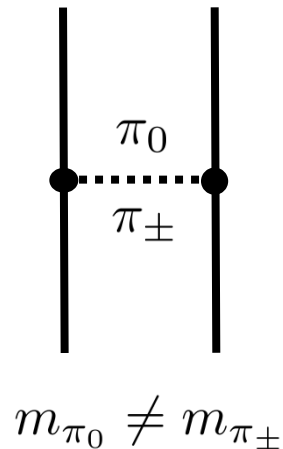
Two unknown coefficients at NNLO from three-nucleon forces.
Determine c_D and c_E using ${}^3\text{H}$ and ${}^4\text{He}$ binding energies



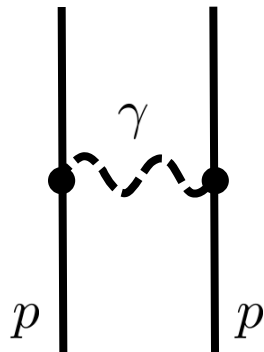
Isospin breaking and Coulomb interaction

Isospin-breaking and power counting [*Friar, van Kolck, PRC 60 (1999) 034006; Walzl, Meißner, Epelbaum NPA 693 (2001) 663; Friar, van Kolck, Payne, Coon, PRC 68 (2003) 024003; Epelbaum, Meißner, PRC 72 (2005) 044001...*]

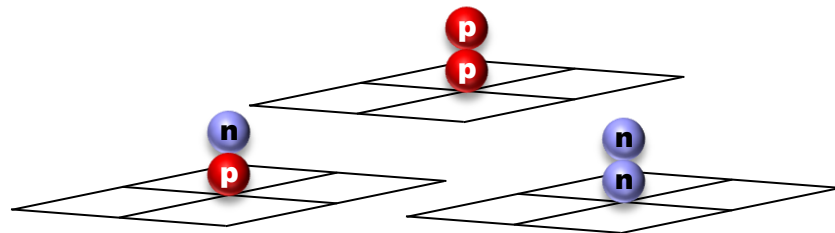
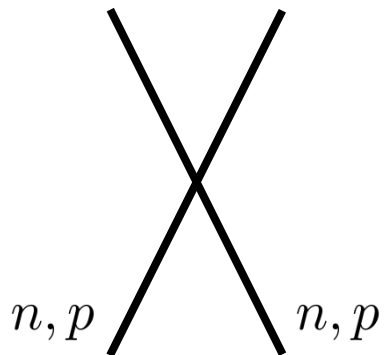
Pion mass difference



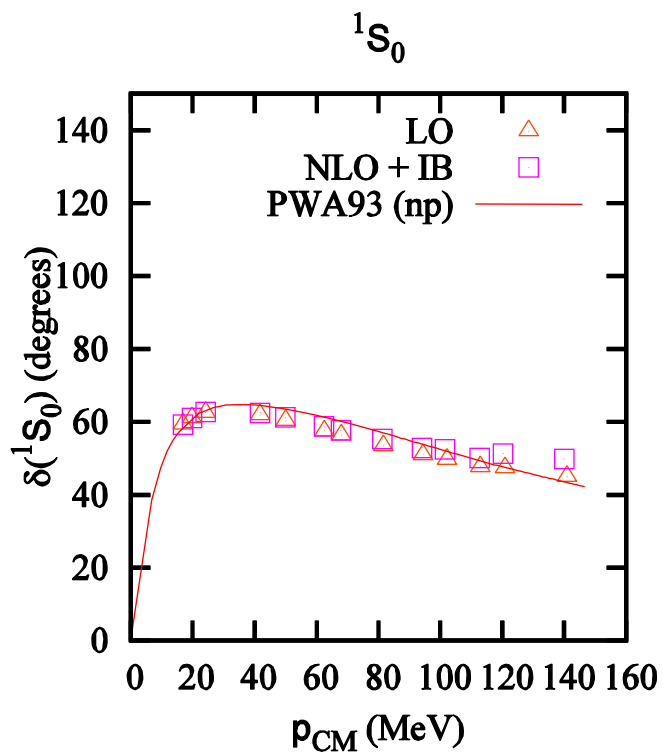
Coulomb potential



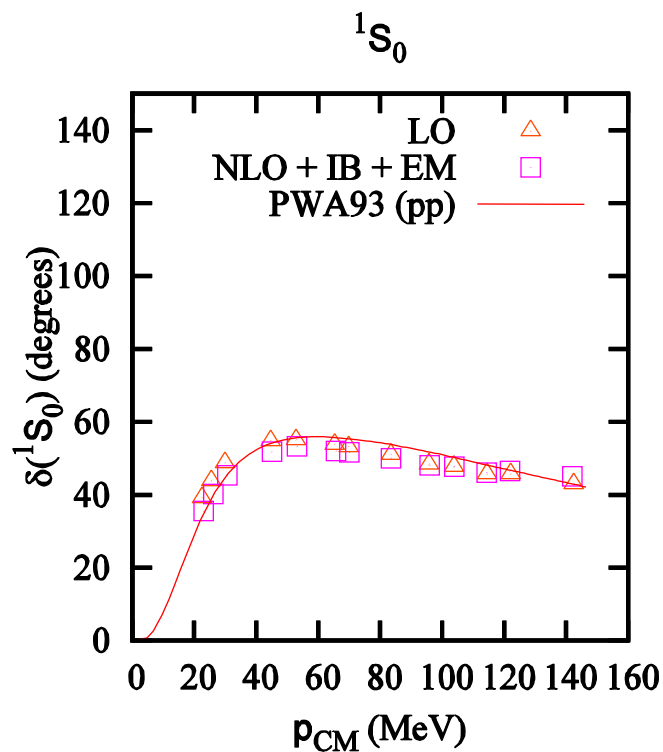
Charge symmetry breaking Charge independence breaking



Neutron-proton



Proton-proton

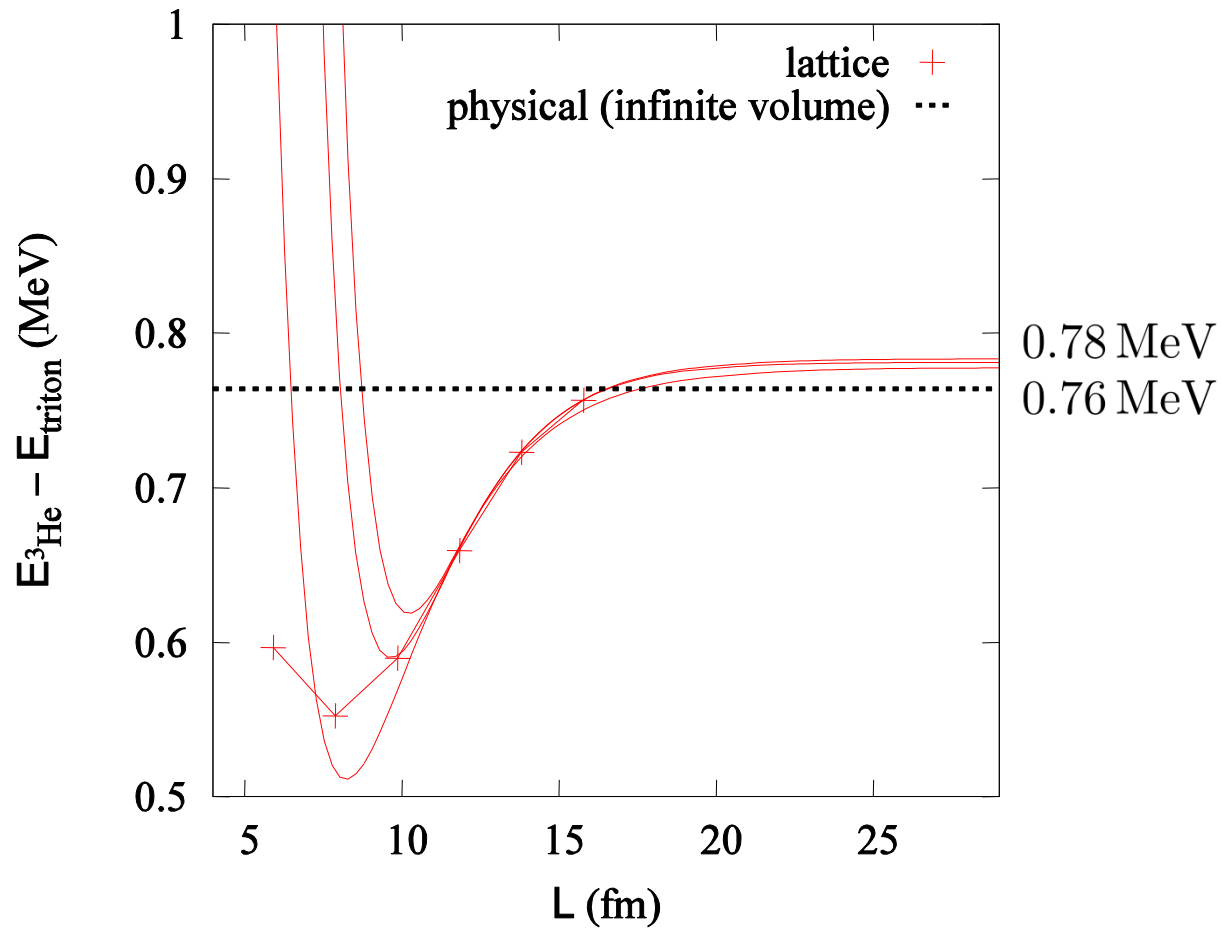


Epelbaum, Krebs, D.L, Meißner, PRL 104:142501, 2010

Epelbaum, Krebs, D.L, Meißner, EPJA 45 (2010) 335

Triton and Helium-3

$$E_{3\text{He}} - E_{\text{triton}} = 0.78(5) \text{ MeV}$$



Euclidean time projection

Let H be the Hamiltonian for a quantum system. We don't know the energies and energy eigenstates, but label them as

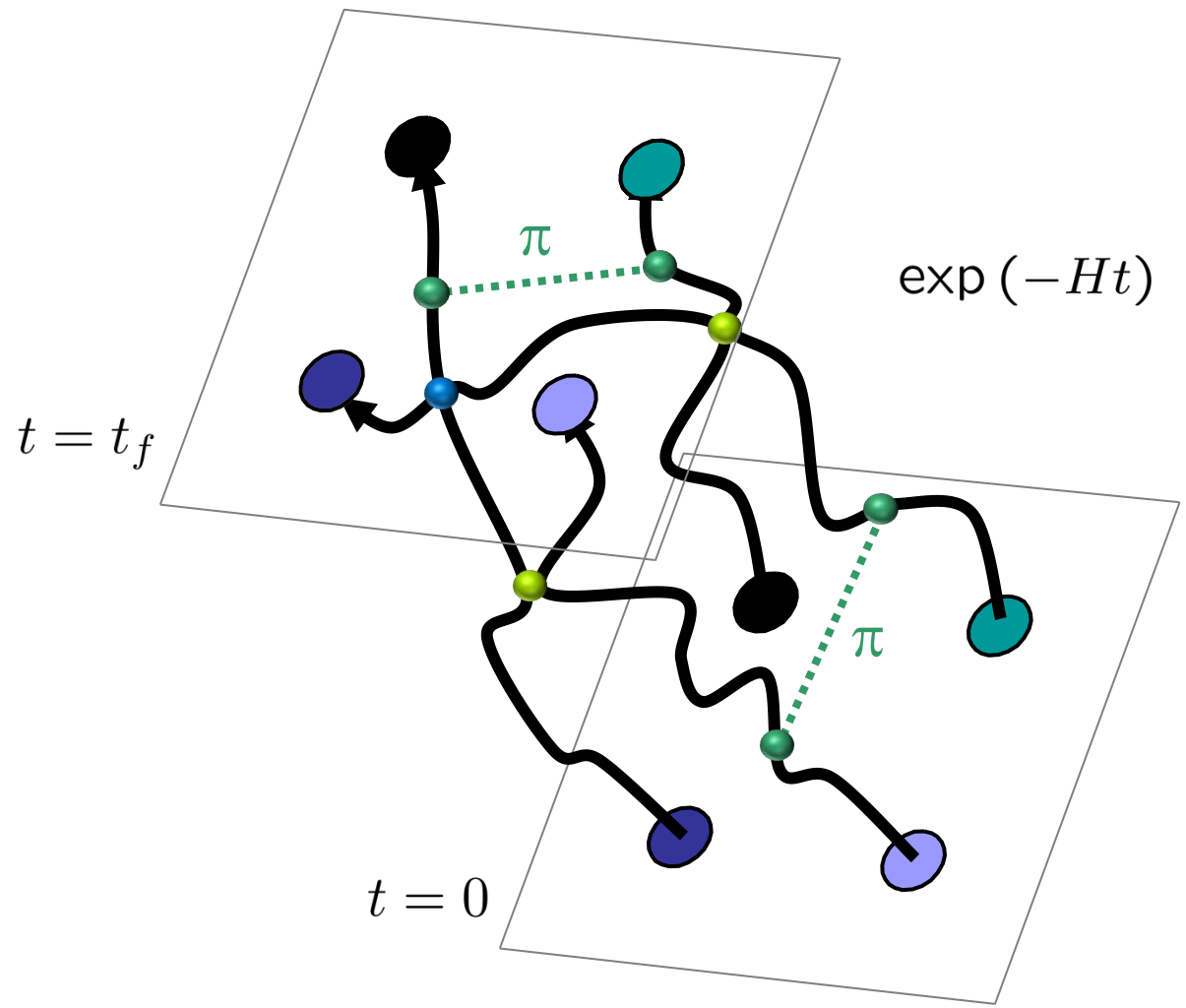
$$H |\psi_n\rangle = E_n |\psi_n\rangle$$
$$E_0 < E_1 \leq E_2 \leq \dots$$

Convenient to work with exponentials of the Hamiltonian

$$\exp(-Ht) |\psi_n\rangle = \exp(-E_n t) |\psi_n\rangle$$

If initial state overlap is nonzero, then the ground state dominates as Euclidean time goes to infinity

$$\exp(-Ht) |\phi\rangle \rightarrow \exp(-E_0 t) |\psi_0\rangle \langle \psi_0 | \phi \rangle$$



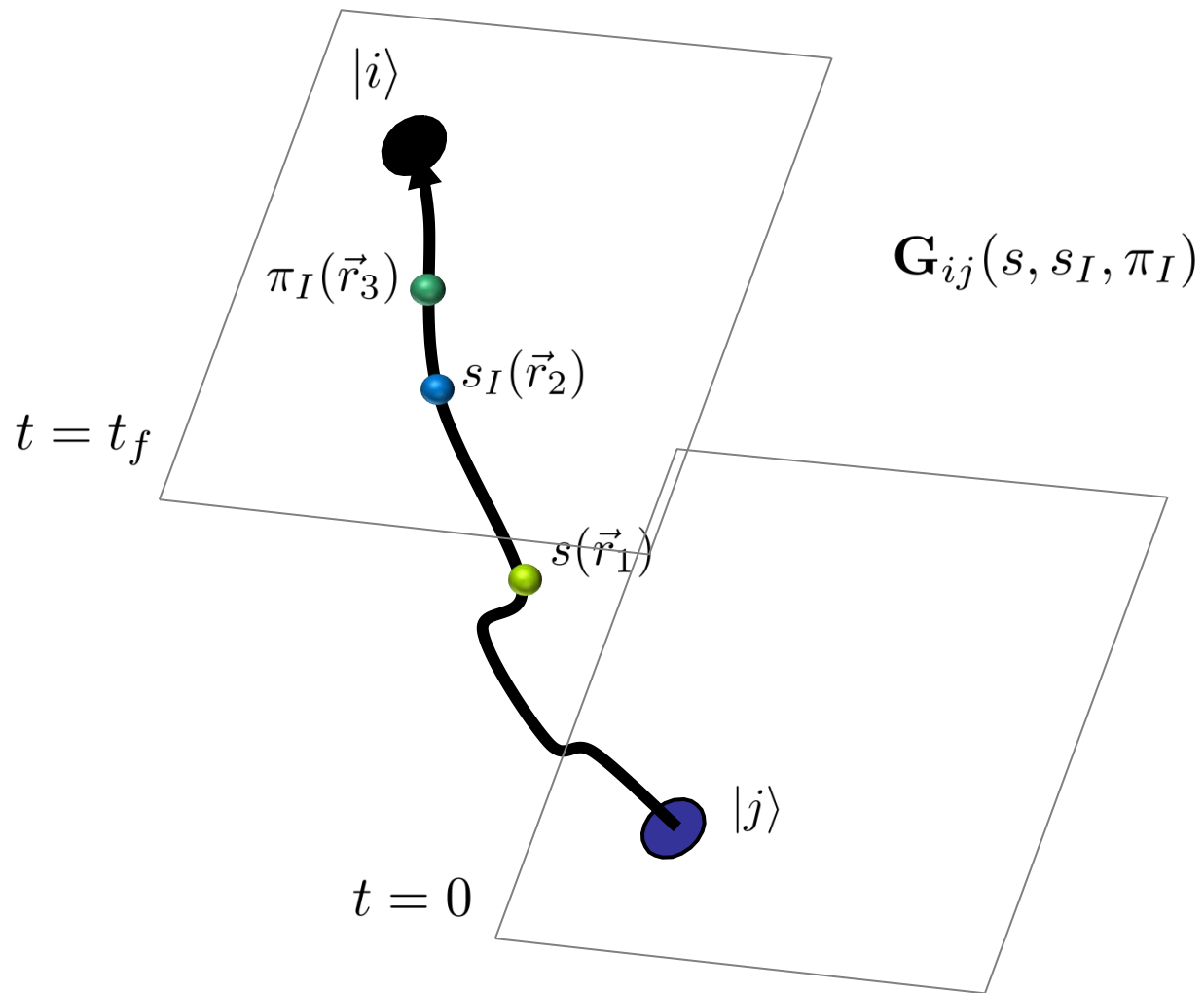
Auxiliary fields

We can write exponentials of the interaction using a Gaussian integral identity

$$\exp\left[-\frac{C}{2}(N^\dagger N)^2\right] \quad \diagdown \quad (N^\dagger N)^2$$

$$= \sqrt{\frac{1}{2\pi}} \int_{-\infty}^{\infty} ds \exp\left[-\frac{1}{2}s^2 + \sqrt{-C} s(N^\dagger N)\right] \quad \diagup \quad sN^\dagger N$$

We remove the interaction between nucleons and replace it with the interactions of each nucleon with a background field.



Take any initial state with the desired quantum numbers and which is an antisymmetric product of A single nucleon states (i.e., a Slater determinant)

$$|\psi_{\text{init}}\rangle = |1\rangle \wedge |2\rangle \wedge \cdots \wedge |A\rangle$$

For any configuration of the auxiliary and pion fields,

$$\langle \psi_{\text{init}} | \exp[-H(s, s_I, \pi_I)t] | \psi_{\text{init}} \rangle = \det \mathbf{G}(s, s_I, \pi_I)$$

$$\mathbf{G}_{ij}(s, s_I, \pi_I) = \langle i | \exp[-H(s, s_I, \pi_I)t] | j \rangle$$

For A nucleons, the matrix is A by A . We use Monte Carlo to integrate over all possible configurations of the auxiliary and pion fields.

Schematic of calculations

$$\begin{array}{ccc}
 \boxed{} = M_{\text{LO}} & \boxed{} = M_{SU(4)} & \boxed{} = O_{\text{observable}} \\
 \boxed{} = M_{\text{NLO}} & \boxed{} = M_{\text{NNLO}} &
 \end{array}$$

Hybrid Monte Carlo sampling

$$Z_{n_t, \text{LO}} = \langle \psi_{\text{init}} | \boxed{} \boxed{} \boxed{} | \psi_{\text{init}} \rangle$$

$$Z_{n_t, \text{LO}}^{\langle O \rangle} = \langle \psi_{\text{init}} | \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} | \psi_{\text{init}} \rangle$$

$$e^{-E_{0, \text{LO}} a_t} = \lim_{n_t \rightarrow \infty} Z_{n_t+1, \text{LO}} / Z_{n_t, \text{LO}}$$

$$\langle O \rangle_{0, \text{LO}} = \lim_{n_t \rightarrow \infty} Z_{n_t, \text{LO}}^{\langle O \rangle} / Z_{n_t, \text{LO}}$$

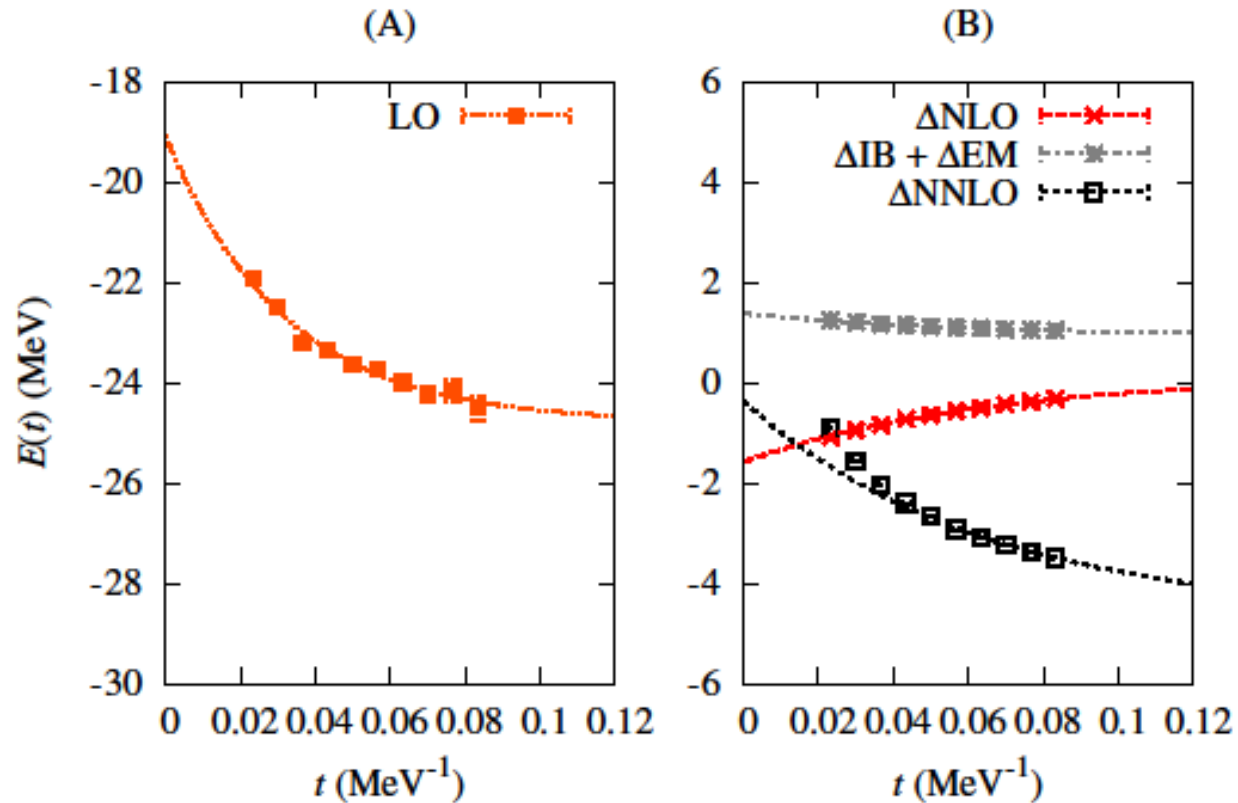
$$Z_{n_t, \text{NLO}} = \langle \psi_{\text{init}} | \left[\text{diag} \right] | \psi_{\text{init}} \rangle$$

$$Z_{n_t, \text{NLO}}^{\langle O \rangle} = \langle \psi_{\text{init}} | \left[\text{diag} \right] | \psi_{\text{init}} \rangle$$

$$\langle O \rangle_{0, \text{NLO}} = \lim_{n_t \rightarrow \infty} Z_{n_t, \text{NLO}}^{\langle O \rangle} / Z_{n_t, \text{NLO}}$$

Ground state of Helium-4

$$L = 9.9 \text{ fm}$$



*Epelbaum, Krebs, D.L, Meißner, PRL 104:142501, 2010;
EPJA 45 (2010) 335; arXiv:1101.2547*

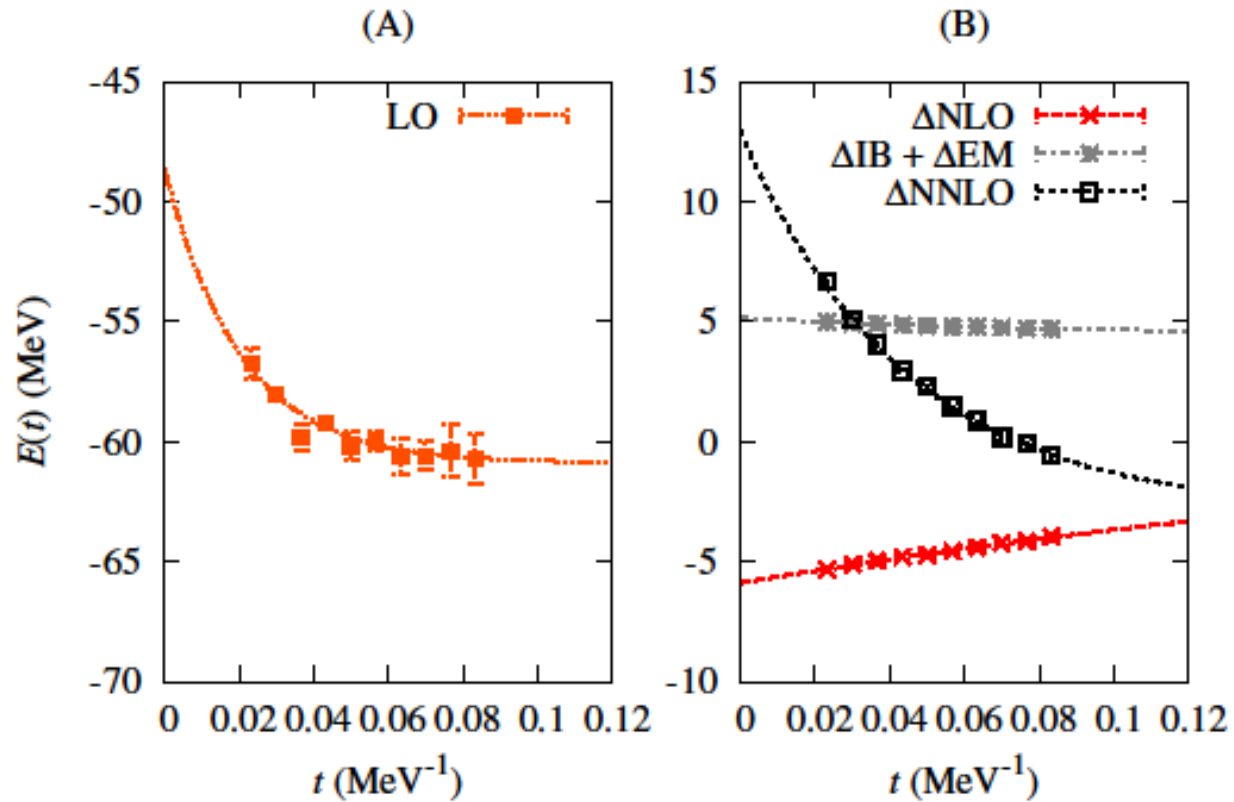
Ground state of Helium-4

$$L = 9.9 \text{ fm}$$

LO ($O(Q^0)$)	-24.8(2) MeV
NLO ($O(Q^2)$)	-24.7(2) MeV
NLO + IB + EM ($O(Q^2)$)	-23.8(2) MeV
NNLO ($O(Q^3)$)	-28.4(3) MeV
Experiment	-28.3 MeV

Ground state of Beryllium-8

$$L = 11.8 \text{ fm}$$



Epelbaum, Krebs, D.L, Meißner, arXiv:1101.2547

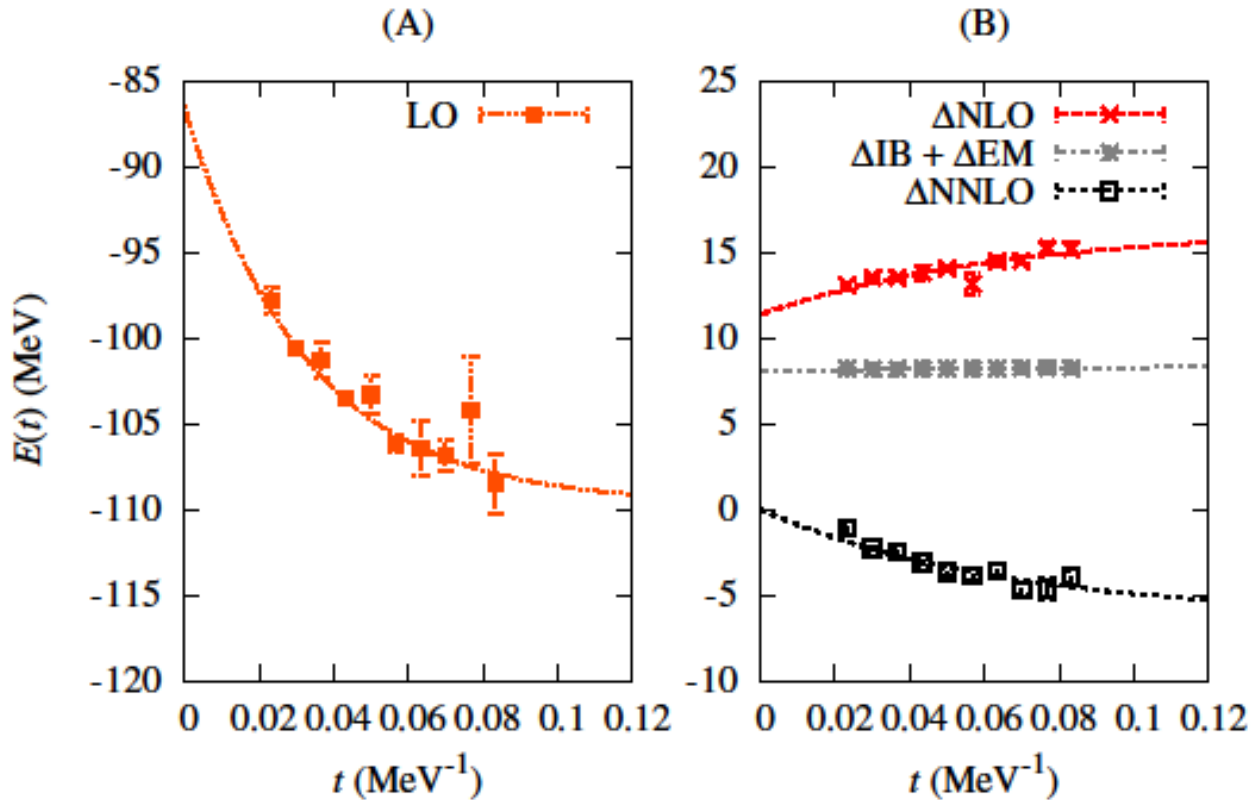
Ground state of Beryllium-8

$$L = 11.8 \text{ fm}$$

LO ($O(Q^0)$)	-60.9(7) MeV
NLO ($O(Q^2)$)	-60(2) MeV
NLO + IB + EM ($O(Q^2)$)	-55(2) MeV
NNLO ($O(Q^3)$)	-58(2) MeV
Experiment	-56.5 MeV

Ground state of Carbon-12

$$L = 11.8 \text{ fm}$$



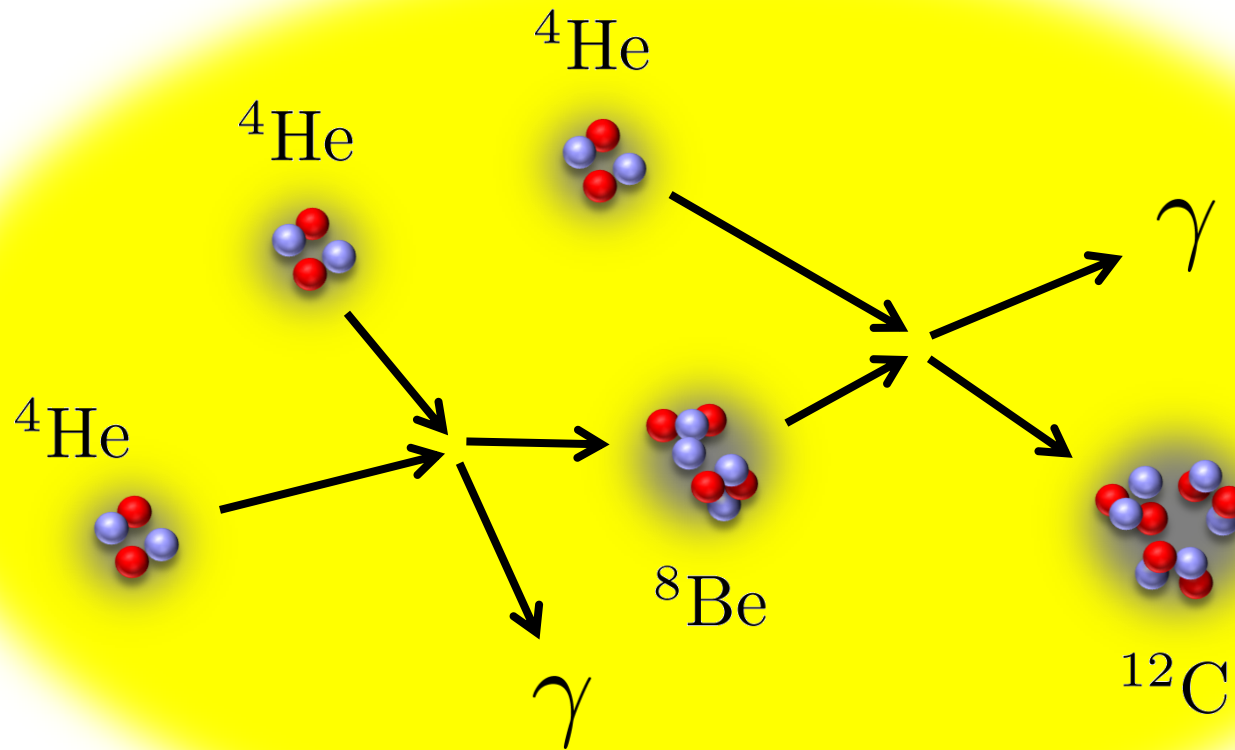
*Epelbaum, Krebs, D.L, Meißner, PRL 104:142501, 2010;
EPJA 45 (2010) 335; arXiv:1101.2547*

Ground state of Carbon-12

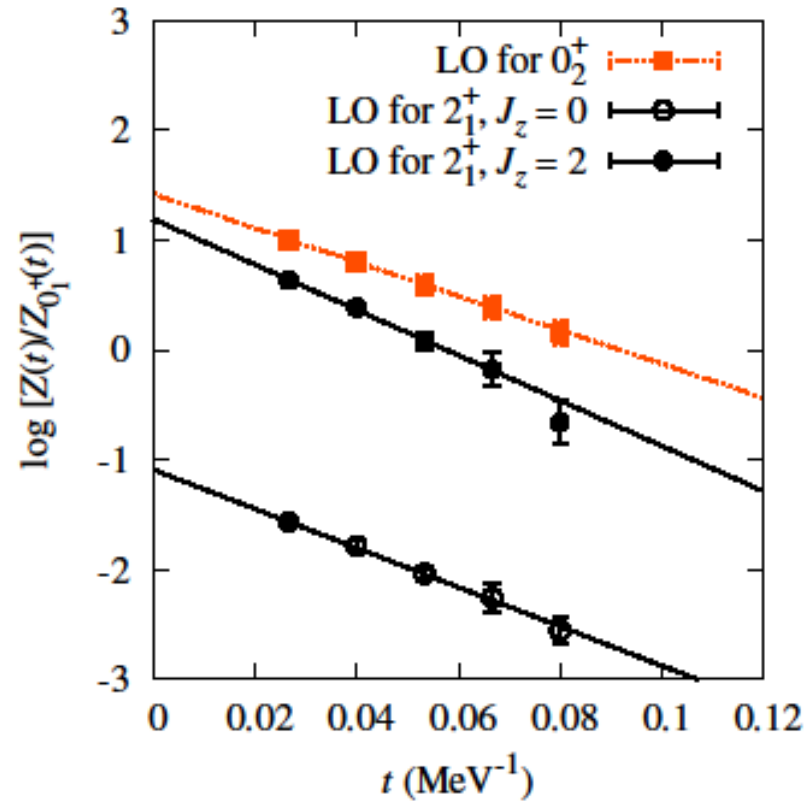
$$L = 11.8 \text{ fm}$$

LO ($O(Q^0)$)	-110(2) MeV
NLO ($O(Q^2)$)	-93(3) MeV
NLO + IB + EM ($O(Q^2)$)	-85(3) MeV
NNLO ($O(Q^3)$)	-91(3) MeV
Experiment	-92.2 MeV

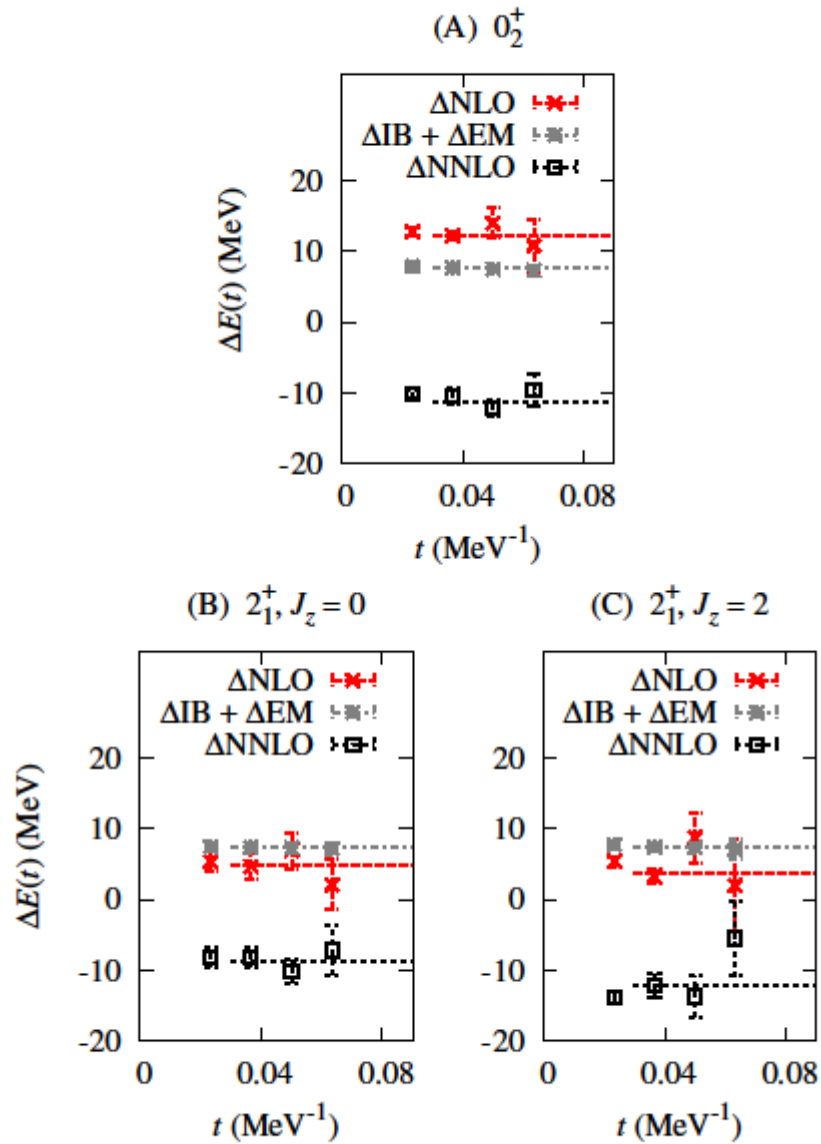
Carbon-12 spectrum and the Hoyle state



Excited state energy gaps



Higher-order corrections

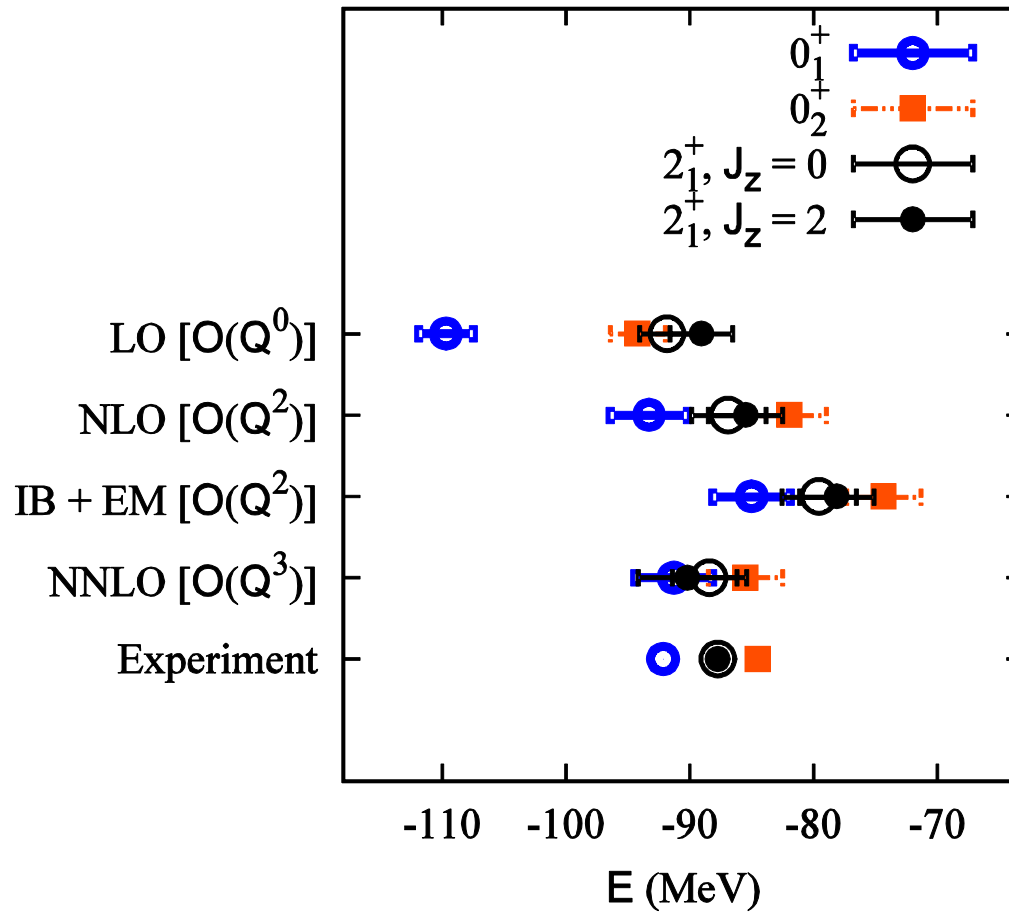


Excited state spectrum of carbon-12

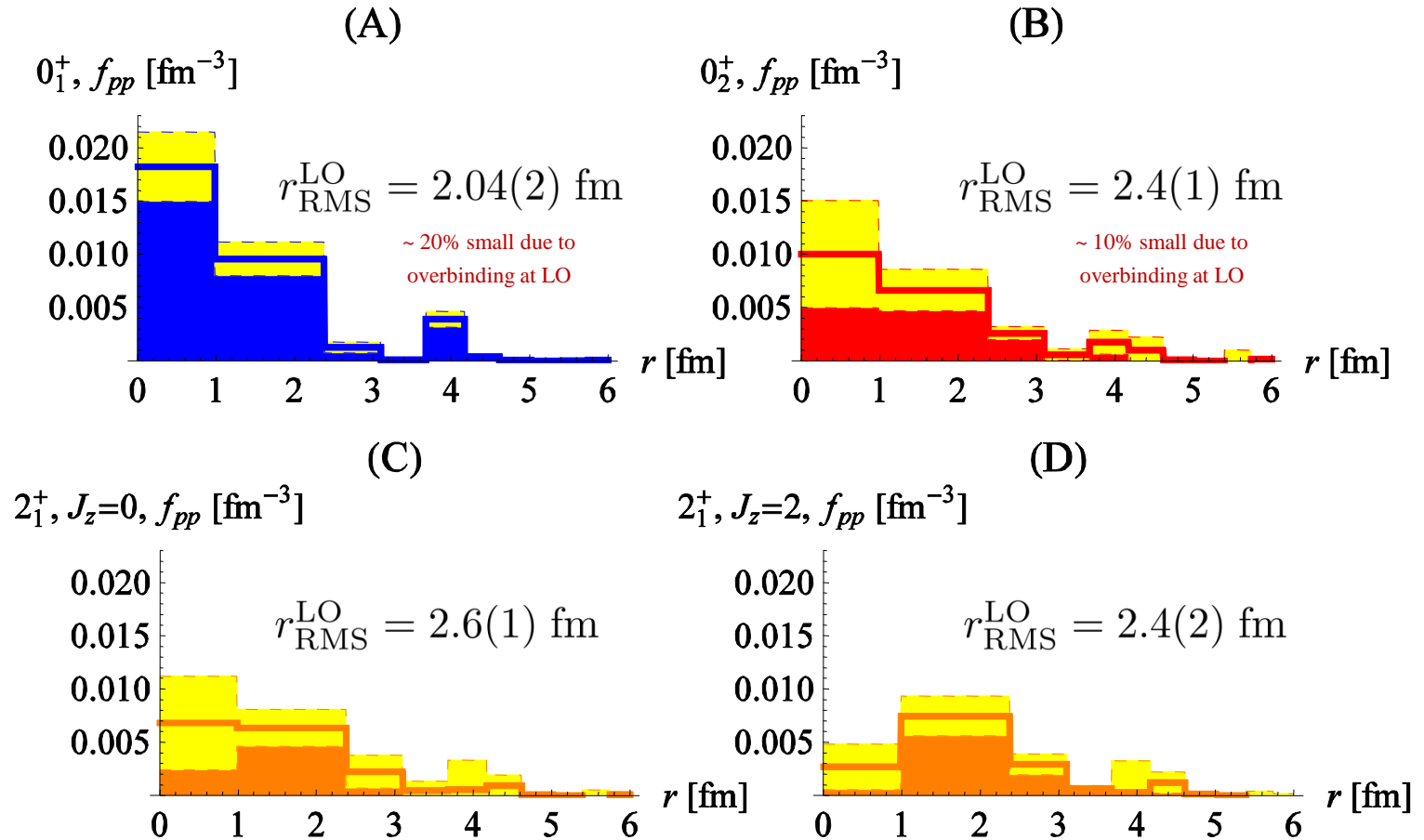
	0_2^+	$2_1^+, J_z = 0$	$2_1^+, J_z = 2$
LO ($O(Q^0)$)	-94(2) MeV	-92(2) MeV	-89(2) MeV
NLO ($O(Q^2)$)	-82(3) MeV	-87(3) MeV	-85(2) MeV
NLO + IB + EM ($O(Q^2)$)	-74(3) MeV	-80(3) MeV	-78(3) MeV
NNLO ($O(Q^3)$)	-85(3) MeV	-88(3) MeV	-90(4) MeV
Experiment	-84.51 MeV	-87.72 MeV	

First *ab initio* calculation of the Hoyle state

Epelbaum, Krebs, D.L, Meißner, arXiv:1101.2547



Proton-proton radial distribution function at leading order



Summary and future directions

Lattice effective field theory is a relatively new and promising tool that combines the framework of effective field theory and computational lattice methods.

Many topics to explore...

Electromagnetic transitions for carbon-12; spectrum of beryllium-8; alpha clustering in nuclei; three-dimensional profile of the Hoyle state; nitrogen-14; oxygen-16; beryllium-10; transition from S-wave to P-wave pairing in superfluid neutron matter; compressibility of nuclei, weak matrix elements; configurations for general public use; etc.

Extra slides

Initial state for carbon-12

For the ground state calculation of carbon-12 the initial state is a Slater determinant of 12 single-nucleon standing waves in the cubic periodic box.

$$a_{0,0} = a_{\uparrow,p}, a_{0,1} = a_{\uparrow,n}$$

$$a_{1,0} = a_{\downarrow,p}, a_{1,1} = a_{\downarrow,n}$$

$$\langle 0 | a_{i,j}(\vec{n}) | \psi_1 \rangle \propto \delta_{i,0} \delta_{j,0}, \quad \langle 0 | a_{i,j}(\vec{n}) | \psi_2 \rangle \propto \delta_{i,0} \delta_{j,1},$$

$$\langle 0 | a_{i,j}(\vec{n}) | \psi_3 \rangle \propto \delta_{i,1} \delta_{j,0}, \quad \langle 0 | a_{i,j}(\vec{n}) | \psi_4 \rangle \propto \delta_{i,1} \delta_{j,1}$$

$$\langle 0 | a_{i,j}(\vec{n}) | \psi_5 \rangle \propto \delta_{i,0} \delta_{j,0} \cos\left(\frac{2n_z \pi}{L}\right), \quad \langle 0 | a_{i,j}(\vec{n}) | \psi_6 \rangle \propto \delta_{i,0} \delta_{j,1} \cos\left(\frac{2n_z \pi}{L}\right)$$

$$\langle 0 | a_{i,j}(\vec{n}) | \psi_7 \rangle \propto \delta_{i,1} \delta_{j,0} \cos\left(\frac{2n_z \pi}{L}\right), \quad \langle 0 | a_{i,j}(\vec{n}) | \psi_8 \rangle \propto \delta_{i,1} \delta_{j,1} \cos\left(\frac{2n_z \pi}{L}\right)$$

$$\langle 0 | a_{i,j}(\vec{n}) | \psi_9 \rangle \propto \delta_{i,0} \delta_{j,0} \sin\left(\frac{2n_z \pi}{L}\right), \quad \langle 0 | a_{i,j}(\vec{n}) | \psi_{10} \rangle \propto \delta_{i,0} \delta_{j,1} \sin\left(\frac{2n_z \pi}{L}\right)$$

$$\langle 0 | a_{i,j}(\vec{n}) | \psi_{11} \rangle \propto \delta_{i,1} \delta_{j,0} \sin\left(\frac{2n_z \pi}{L}\right), \quad \langle 0 | a_{i,j}(\vec{n}) | \psi_{12} \rangle \propto \delta_{i,1} \delta_{j,1} \sin\left(\frac{2n_z \pi}{L}\right)$$

To measure the lowest spin-2 state and Hoyle state (first excited spin-0) we also consider 12 other single-nucleon standing waves

$$\begin{aligned}
\langle 0 | a_{i,j}(\vec{n}) | \phi_1 \rangle &\propto \delta_{i,0} \delta_{j,0} \cos\left(\frac{2n_x \pi}{L}\right), & \langle 0 | a_{i,j}(\vec{n}) | \phi_2 \rangle &\propto \delta_{i,0} \delta_{j,1} \cos\left(\frac{2n_x \pi}{L}\right) \\
\langle 0 | a_{i,j}(\vec{n}) | \phi_3 \rangle &\propto \delta_{i,0} \delta_{j,0} \sin\left(\frac{2n_x \pi}{L}\right), & \langle 0 | a_{i,j}(\vec{n}) | \phi_4 \rangle &\propto \delta_{i,0} \delta_{j,1} \sin\left(\frac{2n_x \pi}{L}\right) \\
\langle 0 | a_{i,j}(\vec{n}) | \phi_5 \rangle &\propto \delta_{i,0} \delta_{j,0} \cos\left(\frac{2n_y \pi}{L}\right), & \langle 0 | a_{i,j}(\vec{n}) | \phi_6 \rangle &\propto \delta_{i,0} \delta_{j,1} \cos\left(\frac{2n_y \pi}{L}\right) \\
\langle 0 | a_{i,j}(\vec{n}) | \phi_7 \rangle &\propto \delta_{i,0} \delta_{j,0} \sin\left(\frac{2n_y \pi}{L}\right), & \langle 0 | a_{i,j}(\vec{n}) | \phi_8 \rangle &\propto \delta_{i,0} \delta_{j,1} \sin\left(\frac{2n_y \pi}{L}\right) \\
\langle 0 | a_{i,j}(\vec{n}) | \phi_9 \rangle &\propto \delta_{i,0} \delta_{j,0} \cos\left(\frac{4n_z \pi}{L}\right), & \langle 0 | a_{i,j}(\vec{n}) | \phi_{10} \rangle &\propto \delta_{i,0} \delta_{j,1} \cos\left(\frac{4n_z \pi}{L}\right) \\
\langle 0 | a_{i,j}(\vec{n}) | \phi_{11} \rangle &\propto \delta_{i,0} \delta_{j,0} \sin\left(\frac{4n_z \pi}{L}\right), & \langle 0 | a_{i,j}(\vec{n}) | \phi_{12} \rangle &\propto \delta_{i,0} \delta_{j,1} \sin\left(\frac{4n_z \pi}{L}\right)
\end{aligned}$$

From these 24 single-nucleon standing waves we construct 7 initial states.

$$|\Psi_1\rangle \propto \bigwedge_{k=1,2,\dots,12} |\psi_k\rangle$$

$$|\Psi_2\rangle \propto \bigwedge_{k=3,4,\dots,12} |\psi_k\rangle \wedge |\phi_1\rangle \wedge |\phi_2\rangle \quad |\Psi_3\rangle \propto \bigwedge_{k=3,4,\dots,12} |\psi_k\rangle \wedge |\phi_3\rangle \wedge |\phi_4\rangle$$

$$|\Psi_4\rangle \propto \bigwedge_{k=3,4,\dots,12} |\psi_k\rangle \wedge |\phi_5\rangle \wedge |\phi_6\rangle \quad |\Psi_5\rangle \propto \bigwedge_{k=3,4,\dots,12} |\psi_k\rangle \wedge |\phi_7\rangle \wedge |\phi_8\rangle$$

$$|\Psi_6\rangle \propto \bigwedge_{k=3,4,\dots,12} |\psi_k\rangle \wedge |\phi_9\rangle \wedge |\phi_{10}\rangle \quad |\Psi_7\rangle \propto \bigwedge_{k=3,4,\dots,12} |\psi_k\rangle \wedge |\phi_{11}\rangle \wedge |\phi_{12}\rangle$$

From these 7 initial states we make 4 linear combinations with total momentum zero and even parity. Three of these have $J_z = 0$ and one has $J_z = 2$.

Relative contribution of omitted operators

